



NAME AND CONTACT ADDRESSES OF PROCURING ENTITY

Name: Lake Victoria North Water Works Development Agency

P.O. BOX 673 - 50100 KAKAMEGA

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E-mail: info@lvnwwda.go.ke

REQUEST FOR PROPOSALS NO: LVNWWDA/ CONS/KAPSABET/2023-2024

**CONSULTANCY NAME: CONSULTANCY SERVICES FOR DESIGN
REVIEW AND SUPERVISION OF CONSTRUCTION WORKS FOR
KAPSABET TOWN SEWERAGE PROJECT**

Issued on 30th, January, 2024

SECTION A: - LETTER OF INVITATION

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30th January, 2024

To: All eligible Firms

Dear All,

RE: CONSULTANCY SERVICES FOR DESIGN REVIEW AND SUPERVISION OF CONSTRUCTION WORKS FOR KAPSABET TOWN SEWERAGE PROJECT

Lake Victoria North Water Works Development Agency (LVNWWDA) has received finances from the Government of the Republic of Kenya. The Agency has prioritized allocation of part of the funds towards the cost of subject consulting services.

The Procuring Entity now invites proposals to provide the following consulting services (hereinafter called "the services"): Consultancy Services for Design Review and Supervision of Construction Works for Kapsabet Town Sewerage Project. More details on the Services are provided in Section 5: Terms of Reference.

This Request for Proposals (RFP) applies to all eligible Consulting Firms.

If a Consultant is a Joint Venture (JV), the full name of the JV shall be used and all members, starting with the name of the lead member. Where sub-consultants have been proposed, they shall be named. The maximum number of JV members shall be specified in the Data Sheet (DS). It is not permissible to transfer this RFP to any other firm.

A firm will be selected under *Quality and Cost Based Selection (QCBS) method* and in a format as described in this RFP, in accordance with the Public Procurement and Asset Disposal Act 2015, a copy of which is found at the following website: www.ppra.go.ke.

The RFP includes the following documents:

- Section 1 Letter of Request for Proposals
- Section 2 Instructions to Consultants and Data Sheet
- Section 3 Technical Proposal Standard Forms Section
- Section 4 Financial Proposal Standard Forms

Section 5 Terms of Reference

Section 6: Standard Forms of Contract

Please inform us by **8th February, 2024** in writing at the address below or by E-mail procurement@lwnwwda.go.ke and Cc info@lwnwwda.go.ke;

- a) That you have accessed this Request for Proposals; and
- b) Whether you intend to submit a proposal alone or intend to enhance your experience by requesting permission to associate with other firm(s) (if permissible under Section 2, Instructions to Consultants (ITC), Data Sheet14.1.1).

Details on the proposal's submission date, time and address are provided in the ITC 17.7 and ITC 17.9 of the Data Sheet.

Yours sincerely,

WAMALWA M. JOEL
CHIEF EXECUTIVE OFFICER

LAKE VICTORIA NORTH WATER WORKS DEVELOPMENT AGENCY
P.O. BOX 673 - 50100 KAKAMEGA
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SECTION 2. INSTRUCTIONS TO CONSULTANTS AND DATA SHEET

Section 2(a). Instructions to Consultants (ITC)

A. GENERAL PROVISIONS

1. Meanings/Definitions

- a) "Affiliate(s)" means an individual or an entity that directly or indirectly controls, is controlled by, or is under common control with the Consultant.
- b) "Applicable Law" means the laws and any other instruments having the force of law in Kenya.
- c) "Procuring Entity" means the entity that is carrying out the consultant selection process and signs the Contract for the Services with the selected Consultant.
- d) "Consultant" means a legally-established professional consulting firm or an entity that may provide or provides the Services to the Procuring Entity under the Contract.
- e) "Contract" means a legally binding written agreement signed between the Procuring Entity and the Consultant and includes all the attached documents listed in its Clause 1 (the General Conditions of Contract (GCC), the Special Conditions of Contract (SCC), and the Appendices).
- f) "Data Sheet" means an integral part of the Instructions to Consultants (ITC) Section 2 that is used to reflect specific assignment conditions to supplement, but not to over-write, the provisions of the ITC.
- g) "Day" means a calendar day unless otherwise specified as "Business Day". A Business Day is any day that is an official working day in Kenya and excludes official public holidays.
- h) "Experts" means, collectively, Key Experts, Non-Key Experts, or any other personnel of the Consultant, Sub-consultant or Joint Venture member(s).
- i) "Government" means the Government of the Republic of Kenya.
- j) "In writing" means communicated in written form such as by mail, e-mail, fax, including, if specified in the Data Sheet, distributed or received through the electronic-procurement system used by the Procuring Entity with proof of receipt.

- k) "Joint Venture (JV)" means an association with or without a legal personality distinct from that of its members, of more than one Consultant where one member has the authority to conduct all business for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to the Procuring Entity for the performance of the Contract.
- l) "Key Expert(s)" means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose CV is considered in the technical evaluation of the Consultant's proposal.
- m) "ITC" (this Section 2 of the RFP) means the Instructions to Consultants that provides the Consultants with all information needed to prepare their Proposals.
- n) "Letter of RFP" means the letter of invitation being sent by the Procuring Entity to the Consultants.
- o) "Non-Key Expert(s)" means an individual professional provided by the Consultant or its Sub-consultant and who is assigned to perform the Services or any part thereof under the Contract and whose CVs are not evaluated individually.
- p) "Proposal" means the Technical Proposal and the Financial Proposal of the Consultant.
- q) "Public Procurement Regulatory Authority (PPRA)" means the statutory authority of the Government of Kenya that mandated with the role of regulating and monitoring compliance with the public procurement law and regulations.
- r) "RFP" means the Request for Proposals to be prepared by the Procuring Entity for the selection of Consultants.
- s) "Services" means the work to be performed by the Consultant pursuant to the Contract.
- t) "Sub-consultant" means an entity to whom the Consultant intends to subcontract any part of the Services while the Consultant remains responsible to the Procuring Entity during the whole performance of the Contract.
- v) "Terms of Reference (TORs)" means the Terms of Reference that explains the objectives, scope of work, activities, and tasks to be performed, respective

responsibilities of the Procuring Entity and the Consultant, and expected results and deliverables of the assignment.

2. Introduction

- 2.1 The Procuring Entity named in the Data Sheet intends to select a Consultant from those listed in the Request for Proposals (RFP), in accordance with the method of selection specified in the Data Sheet.
- 2.2 The Consultant are invited to submit a Technical Proposal and a Financial Proposal, for consulting services required for the assignment named in the Data Sheet. The Proposal will be the basis for negotiating and ultimately signing the Contract with the selected Consultant.
- 2.3 The Consultants should familiarize themselves with the local conditions and take them into account in preparing their Proposals, including attending a pre-proposal conference if one is specified in the Data Sheet. Attending any such pre-proposal conference is optional and is at the Consultants' expense.
- 2.4 The Procuring Entity will timely provide, at no cost to the Consultants, the inputs, relevant project data, and reports required for the preparation of the Consultant's Proposal as specified in the Data Sheet.

3. Conflict of Interest

- 3.1 The Consultant is required to provide professional, objective, and impartial advice, always holding the Procuring Entity's interest's paramount, strictly avoiding conflicts with other assignments or its own corporate interests and acting without any consideration for future work.
- 3.2 The Consultant has an obligation to disclose to the Procuring Entity any situation of actual or potential conflict that impacts its capacity to serve the best interest of the Procuring Entity. Failure to disclose such situations may lead to the disqualification of the Consultant or the termination of its Contract.
- 3.3 Without limitation on the generality of the foregoing, and unless stated otherwise in the Data Sheet, the Consultants shall not be hired under the circumstances set forth below:
 - i) *Conflicting Activities*

Conflict between consulting activities and procurement of goods, works or non-consulting services: a firm that has been engaged by the Procuring Entity to provide goods, works, or non-consulting services for a project, or any of its Affiliates, shall be disqualified from providing consulting services resulting from or directly related to those goods, works, or non-consulting services. Conversely, a firm hired to provide consulting services for the preparation or implementation of a project, or any of its Affiliates, shall be disqualified from subsequently providing goods or works or non-consulting services resulting from or directly related to the consulting services for such preparation or implementation.

ii) *Conflicting Assignments*

Conflict among consulting assignments: A Consultant (including its Experts and Sub-consultants) or any of its Affiliates shall not be hired for any assignment that, by its nature, may conflict with another assignment of the Consultant for the same or for another Procuring Entity.

(iii) *Conflicting Relationships*

Relationship with the Procuring Entity's staff: a Consultant (including its Experts and Sub-consultants) that has a close business or personal relationship with senior management or professional staff of the Procuring Entity who has the ability to influence the bidding process and: (i) are directly or indirectly involved in the preparation of the Terms of Reference for the assignment, (ii) the selection process for the Contract, or (iii) the supervision of the Contract, may not be awarded a Contract, unless the conflict stemming from such relationship has been resolved in a manner that determines there is no conflict to affect this selection process.

iv) *Others*

Any other types of conflicting relationships as indicated in the Data Sheet.

4. Unfair Competitive Advantage

4.1 Fairness and transparency in the selection process require that the Consultants or their Affiliates competing for a specific assignment do not derive a competitive advantage from having provided consulting services related to the assignment in question. To that end, the Procuring Entity shall indicate in the Data Sheet and make available to all Consultants together with this RFP all information that would in that respect give such Consultant any unfair competitive advantage over competing Consultants.

5. Corrupt and Fraudulent Practices

5.1 Consultant firms or any of its members shall not be involved in corrupt, coercive, obstructive, collusive or fraudulent practice. Consultant firms or any of its members that are proven to have been involved in any of these practices shall be automatically disqualified and would not be awarded a contract.

5.2 Collusive practices

5.2 The Procuring Entity requires compliance with the provisions of the Competition Act 2010, regarding collusive practices in contracting. Any Consultant found to have engaged in collusive conduct shall be disqualified and criminal and/or civil sanctions may be imposed. To this effect, Consultants shall be required to complete and sign the "Certificate of Independent Proposal Determination" annexed to the Proposal Form.

5.3 In further pursuance of this policy, Consultants shall permit and shall cause their agents (where declared or not), subcontractors, sub-consultants, service providers, suppliers, and their personnel, to permit the Government and its agencies to inspect all accounts, records and other documents relating to any short-listing process, Proposal submission, and contract performance (in the case of award), and to have them audited by auditors, investigators or compliance officers.

6. Eligibility

6.1 In selection of Consultants, short-listing shall be composed of firms or individuals who belong to the same line of professional business and who are almost of the same capability.

6.2 Unless otherwise specified in the Data Sheet, the Procuring Entity permits Consultants including proposed experts, joint ventures and individual members from all countries and categories to offer consulting services. The maximum number of members so far JV shall be specified in the TDS.

6.3 The Competition Act of Kenya requires that firms wishing to tender as Joint Venture undertakings which may prevent, distort or lessen competition in provision of services are prohibited unless they are exempt in accordance with the provisions of Section 25 of the Competition Act, 2010. JVs will be required to seek for exemption from the Competition Authority. Exemption shall not be a condition for submission of proposals, but it shall be a condition of contract

award and signature. AJV tenderer shall be given opportunity to seek such exemption as a condition of award and signature of contract. Application for exemption from the Competition Authority of Kenya may be accessed from the website www.cak.go.ke

- 6.4 Public Officers of the Procuring Entity, their Spouses, Child, Parent, Brothers or Sister. Child, Parent, Brother or Sister of a Spouse, their business associates or agents and firms/organizations in which they have a substantial or controlling interest shall not be eligible to tender or be awarded a contract. Public Officers are also not allowed to participate in any procurement proceedings.
- 6.5 It is the Consultant's responsibility to ensure that it's Experts, joint venture members, Sub-consultants, agents (declared or not), sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements.
- 6.6 As an exception to the foregoing ITC 6.1 and 6.2 above:
 - a) Sanctions-A firm or an individual that has been debarred from participating in public procurement shall be ineligible to be awarded a contract, or to benefit from the contract, financially or otherwise, during the debarment period. The list of debarred firms and individuals is available from the website of PPRA www.ppra.go.ke.
 - b) Prohibitions-Firms and individuals of a country or goods in a country maybe ineligible if:
 - i) As a matter of law or official regulations, Kenya prohibits commercial relations with that country; or
 - ii) By an act of compliance with a decision of the United Nations Security Council taken under Chapter

VII of the Charter of the United Nations, Kenya prohibits any import of goods or services from that country or any payments to any country, person, or entity in that country.

- c) Restrictions for Government-Owned Enterprises - Government-owned enterprises or institutions in Kenya shall be eligible only if they can establish that they
 - i) Are legally and financially autonomous,
 - ii) Operate under commercial law, and
 - iii) That they are not dependent agencies of the Procuring Entity.
- d) Restrictions for public employees - Government officials and civil servants and employees of public institutions shall not be hired for consulting contracts.

6.7 Margin of Preference and Reservations-no margin of preference shall be allowed in the selection of consultants. Reservations may however be allowed to a specific group of businesses (these groups are Small and Medium Enterprises, Women Enterprises, Youth Enterprises and Enterprises of persons living with disability, as the case may be), and who are appropriately registered as such by the authority to be specified in the Data Sheets. A procuring entity shall ensure that the invitation to submit proposals specifically includes only businesses or firms belonging to one group.

B. Preparation of Proposals

7. General Considerations

7.1 In preparing the Proposal, the Consultant is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.

8. Cost of Preparation of Proposal

8.1 The Consultant shall bear all costs associated with the preparation and submission of its Proposal, and the Procuring Entity shall not be responsible or liable for those costs, regardless of the conduct or outcome of the selection process. The Procuring Entity is not bound to accept any proposal and reserves the right to annul the selection process at any time prior to Contract award, without there by incurring any liability to the Consultant.

9. Language

- 9.1 The Proposal, as well as all correspondence and documents relating to the Proposal exchanged between the Consultant and the Procuring Entity shall be written in the English language.

10. Documents Comprising the Proposal

- 10.1 The Proposal shall comprise the documents and forms listed in the Data Sheet.
- 10.2 The Consultant shall declare in the Financial Proposal Submission Form, that in competing for and executing a contract, it shall undertake to observe the laws of Kenya against fraud and corruption including bribery, as well as against anti-competitive practices including bid rigging.
- 10.3 The Consultant shall furnish information on commissions, gratuities and fees, if any, paid or to be paid to agents or any other party relating to this Proposal and, if awarded, Contract execution, as requested in the Financial Proposal Submission Form.

11. Only One Proposal

- 11.1 The Consultant (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture in another Proposal. If a Consultant, including any Joint Venture member, submits or participates in more than one proposal, all such proposals shall be disqualified and rejected. This does not, however, preclude Sub-consultant, or the Consultant's staff from participating as Key Experts and Non-Key Experts in more than one Proposal when circumstances justify and if stated in the Data Sheet.
- 11.2 Members of a joint venture may not also make an individual Proposal, be a subcontractor in a separate proposal or be part of another joint venture for the purposes of the same Contract.
- 11.3 Should a Joint Venture subsequently win the Contract, it shall consider whether an application for exemption from the Competition Authority of Kenya is merited pursuant to Section 25 of the Competition Act 2010.

12. Proposal Validity

a. Proposal Validity Period

12.1 The Data Sheet indicates the period during which the Consultant's Proposal must remain valid after the Proposal submission deadline.

12.2 During this period, the Consultant shall maintain its original Proposal without any change, including the availability of the Key Experts, the proposed rates and the total price.

12.3 If it is established that any Key Expert nominated in the Consultant's Proposal was not available at the time of Proposal submission or was included in the Proposal without his/her confirmation, such Proposal shall be disqualified and rejected for further evaluation and may be subject to sanctions in accordance with IT C5.

b. Extension of Validity Period

12.4 The Procuring Entity will make its best effort to complete the negotiations within the proposal's validity period. However, should the need arise, the Procuring Entity may request, in writing, all Consultants who submitted Proposals prior to the submission deadline to extend the Proposals' validity.

12.5 If the Consultant agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal and with the confirmation of the availability of the Key Experts, except as provided in ITC 12.7.

12.6 The Consultant has the right to refuse to extend the validity of its Proposal in which case such Proposal will not be further evaluated.

b. Substitution of Key Experts at Validity Extension

12.7 If any of the Key Experts become unavailable for the extended validity period, the Consultant shall provide a written adequate justification and evidence satisfactory to the Procuring Entity together with the substitution request. In such case, a replacement Key Expert shall have equal or better qualifications and experience than those of the originally proposed Key Expert. The technical evaluations core, however, will remain to be based on the evaluation of the CV of the original Key Expert.

12.8 If the Consultant fails to provide a substitute Key Expert with equal or better qualifications, or if the provided reasons for the replacement or justification are unacceptable to the Procuring Entity, such Proposal will be rejected.

c. Sub-Contracting

12.9 The Consultant shall not subcontract the whole or part of the Services without reasonable justification and written approval of the Procuring Entity.

13. Clarification and Amendment of RFP

13.1 The Consultant may request a clarification of any part of the RFP during the period indicated in the Data Sheet before the Proposals' submission deadline. Any request for clarification must be sent in writing, or by standard electronic means, to the Procuring Entity's address indicated in the Data Sheet. The Procuring Entity will respond in writing, or by standard electronic means, and will send written copies of the response (including an explanation of the query but without identifying its source) to all Consultants. Should the Procuring Entity deem it necessary to amend the RFP as a result of a clarification, it shall do so following the procedure described below:

13.2 At any time before the proposal submission deadline, the Procuring Entity may amend the RFP by issuing an amendment in writing or by standard electronic means. The amendment shall be sent to all invited Consultants and will be binding on them. The Consultants shall acknowledge receipt of amendments in writing.

13.3 If the amendment is substantial, the Procuring Entity may extend the proposal submission deadline to give the Consultants reasonable time to take an amendment into account in their Proposals.

13.4 The Consultant may submit a modified Proposal or a modification to any part of it at any time prior to the proposal submission deadline. No modifications to the Technical or Financial Proposal shall be accepted after the deadline.

14. Preparation of Proposals-Specific Considerations

14.1 While preparing the Proposal, the Consultant must give particular attention to the following:

(a) If a Consultant considers that it may enhance its expertise for the assignment by associating with other consultants in the form of a Joint Venture or as Sub-consultants, it may do so only one Proposal is submitted, in accordance with ITC 11. Above. A Consultant cannot associate with shortlisted Consultant(s). When associating with non-shortlisted/non-invited firms in the form of a joint venture or a sub-consultancy, the shortlisted/invited Consultant shall be a lead member. If shortlisted/invited Consultant associates

with each other, any of them can be a lead member.

- (b) The Procuring Entity may indicate in the Data Sheet the estimated amount or Key Experts' time input (expressed in person-month), or the Procuring Entity's estimated total cost of the assignment, but not both. This estimate is indicative and the Proposal shall be based on the Consultant's own estimates for the same. This clause shall not apply when using Fixed Budget selection method.
- (c) For assignments under the Fixed-Budget selection method, the estimated Key Experts' time input shall not be disclosed. Total available budget, with an indication whether it is inclusive or exclusive of taxes, is given in the Data Sheet, and the Financial Proposal shall not exceed this budget.
- d) Key Experts shall not appear in more than one proposal unless so allowed in the Data Sheet. Invited firms must confirm and ensure their Key experts do not appear in proposal of other invited firms, otherwise proposals with Key experts appearing in other proposals will be rejected.

15. Technical Proposal Format and Content

15.1 The Technical Proposal shall be prepared using the Standard Forms provided in Section 3 of the RFP and shall comprise the documents listed in the Data Sheet under ITC 10.1. The Technical Proposal shall not include any financial information. A Technical Proposal containing material financial information shall be declared non-responsive.

15.2 Consultant shall not propose alternative Key Experts. Only one CV shall be submitted for each Key Expert position. Failure to comply with this requirement will make the Proposal non-responsive.

16. Financial Proposal

16.1 The Financial Proposal shall be prepared using the Standard Forms provided in Section 4 of the RFP. It shall list all costs associated with the assignment, including (a) remuneration for Key Experts and Non-Key Experts, (b) reimbursable expenses indicated in the Data Sheet. Irrespective of the consultant selection method, any Consultant that does not submit itemized and priced financial proposal, or merely refers the Procuring Entity to other legal instruments for the applicable minimum remuneration fees shall be considered non-responsive.

a. Price Adjustment

16.2 For assignments with a duration exceeding 18 months, a price adjustment provision for foreign and/or local inflation for remuneration rates apply if so,

stated in the Data Sheet.

b. Taxes

16.3 The Consultant and its Sub-consultants and Experts are responsible for meeting all tax liabilities arising out of the Contract unless stated otherwise in the Data Sheet. Information on taxes in Kenya is provided in the Data Sheet.

c. Currency of Proposal

16.4 The Consultant may express the price for its Services in the currency or currencies as stated in the Data Sheet. If indicated in the Data Sheet, the portion of the price representing local cost shall be stated in Kenya Shillings.

d. Currency of Payment

16.5 Payment under the Contract shall be made in the currency or currencies in which the payment is requested in the Proposal.

C. SUBMISSION, OPENING AND EVALUATION

17. Submission, Sealing, and Marking of Proposals

17.1 The Consultant shall submit a signed and complete Proposal comprising the documents and forms in accordance with ITC 10 (Documents Comprising Proposal). Consultants shall mark as "CONFIDENTIAL" information in their Proposals which is confidential to their business. This may include proprietary information, trade secrets or commercial or financially sensitive information. The submission can be done by mail or by hand. If specified in the Data Sheet, the Consultant has the option of submitting its Proposals electronically.

17.2 An authorized representative of the Consultant shall sign the original submission letters in the required format for both the Technical Proposal and the Financial Proposals and shall initial all pages of both. The authorization shall be in the form of a written power of attorney attached to the Technical Proposal.

17.3 A Proposal submitted by a Joint Venture shall be signed by all members so as to be legally binding on all members, or by an authorized representative who has a written power of attorney signed by each member's authorized representative.

17.4 Any modifications, revisions, interlineations, erasures, or overwriting shall be

valid only if they are signed or initialed by the person signing the Proposal.

17.5 The signed Proposal shall be marked "ORIGINAL", and its copies marked "COPY" as appropriate. The number of copies is indicated in the Data Sheet. All copies shall be made from the signed original. If there are discrepancies between the original and the copies, the original shall prevail.

18. Sealing and Marking of Proposals

18.1 The firm shall deliver the Proposals in a single sealed envelope, or in a single sealed package, or in a single sealed container bearing the name and Reference number of the assignment, addressed to the Procuring Entity and a warning "DO NOT OPEN BEFORE..... (The time and date for proposal opening date". Within the single envelope, package or container, the Firm shall place the following separate, sealed envelopes:

18.2 In the single sealed envelope, or in a single sealed package, or in a single sealed container the following documents shall be closed and shall be addressed as follows:

- i) in an envelope or package or container marked "ORIGINAL", all documents comprising the Technical Proposal, as described in ITC11;
- ii) in an envelope or package or container marked "COPIES", all required copies of the Technical Proposal;
- iii) in an envelope or package or container marked "ORIGINAL", all required copies of the Financial Proposal; and

18.3 The inner envelopes or packages or containers shall:

- i) Bear the name and address of the Procuring Entity.
- ii) Bear the name and address of the Firm; and
- iii) Bear the name and Reference number of the Assignment.

18.4 If an envelope or package or container is not sealed and marked as required, the *Procuring Entity* will assume no responsibility for the misplacement or premature opening of the proposal. Proposals that are misplaced or opened prematurely will not be accepted.

18.5 The Proposal or its modifications must be sent to the address indicated in the Data Sheet and received by the Procuring Entity no later than the deadline indicated in the Data Sheet, or any extension to this deadline. Any Proposal or its modification received by the Procuring Entity after the deadline shall be declared late and rejected, and promptly returned unopened.

19. Confidentiality/Canvassing

- 19.1 From the time the Proposals are opened to the time the Contract is awarded, the Consultant should not contact the Procuring Entity on any matter related to its Technical and/or Financial Proposal. Information relating to the evaluation of Proposals and award recommendations shall not be disclosed to the Consultants who submitted the Proposals or to any other party not officially concerned with the process, until the publication of the Contract award information.
- 19.2 Any attempt by Consultants or any one on behalf of the Consultant to influence improperly the Procuring Entity in the evaluation of the Proposals or Contract award decisions may result in the rejection of its Proposal and may be subject to the application of prevailing PPRA's debarment procedures.
- 19.3 Notwithstanding the above provisions, from the time of the Proposals' opening to the time of Contract award publication, if a Consultant wishes to contact the Procuring Entity on any matter related to the selection process, it should do so only in writing.

20. Opening of Technical Proposals

- 20.1 The Procuring Entity's opening committee shall conduct the opening of the Technical Proposals in the presence of the Consultants' authorized representatives who choose to attend (in person, or online if this option is offered in the Data Sheet). The opening date, time and the address are stated in the Data Sheet. The envelopes with the Financial Proposal shall remain sealed and shall be securely stored by the Procuring Entity or with a reputable public auditor or independent authority until they are opened in accordance with ITC 22.
- 20.2 At the opening of the Technical Proposals the following shall be read out: (i) the name and the country of the Consultant or, in case of a Joint Venture, the name of the Joint Venture, the name of the lead member and the names and the countries of all members; (ii) the presence or absence of a duly sealed envelope with the Financial Proposal; (iii) any modifications to the Proposal submitted prior to proposal submission deadline; and (iv) any other information deemed appropriate or as indicated in the Data Sheet.

21. Proposals Evaluation

- 21.1 Subject to provision of ITC 15.1, the valuers of the Technical Proposals shall have no access to the Financial Proposals until the technical evaluation is concluded and after the Procuring Entity notifies all the Consultants in accordance with ITC 22.1.

21.2 The Consultant is not permitted to alter or modify its Proposal in anyway after the proposal submission deadline except as permitted under ITC12.7. While evaluating the Proposals, the Evaluation Committee will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.

22 Evaluation of Technical Proposals

22.1 The Procuring Entity's evaluation committee shall evaluate the Technical Proposals that have passed the eligibility and mandatory criteria, on the basis of their responsiveness to the Terms of Reference and the RFP. The eligibility and mandatory criteria shall include the following and any other that may include in the Data sheet.

- a) Firm has submitted the required number of copies of the Technical Proposals.
- b) Firm has submitted a sealed financial proposal.
- c) The Proposal is valid for the required number of days.
- d) The Technical Proposal is signed by the person with power of attorney, without material deviation, reservation, or omission.
- e) The Technical Proposal is complete with all the forms and required documentary evidence submitted.
- f) A valid tax compliance certificate or tax exemption certificate issued by the Kenya Revenue Authority in accordance with ITT 3.14 for Kenyan firms.
- g) Key Experts are from eligible countries.
- h) Key Experts do not appear in more than one proposal, if so required.
- i) A short-listed firm has not participated in more than one proposal, if so required.
- j) The Consultant is not insolvent, in receivership, bankrupt or in the process of being wound up.
- k) The Consultant, its sub-consultants and experts have not engaged in or been convicted of corrupt or fraudulent practices.
- l) The Consultant is neither precluded from entering into a Contract nor debarred by PPRA.
- m) The firm has not proposed employing public officials, civil servants and employees of public institutions.
- n) The Consultant, its sub-consultants and experts have no conflicts of interest.

22.2 Each responsive Proposal will be given a technical score. A Proposal shall be rejected at this stage if it does not respond to important aspects of the RFP or if

it fails to achieve the minimum technical score indicated in the Data Sheet.

23. Public Opening of Financial Proposals

23.1 Unsuccessful Proposals

After the technical evaluation is completed, the Procuring Entity shall notify those Consultants whose Proposals were considered non-responsive to the RFP and TOR or did not meet the minimum qualifying technical score, advising them the following: (i) their Proposal was not responsive to the RFP and TOR or did not meet the minimum qualifying technical score;(ii)provide information relating to the Consultant's overall technical score, as well as scores obtained for each criterion and sub-criterion; (iii) their Financial Proposals will be returned unopened after completing the selection process and Contract signing; and (iv) notify them of the date, time and location of the public opening of the Financial Proposals and invite them to attend.

23.2 Financial Proposals for QBS, CQS and SSS

Following the ranking of the Technical Proposals, when the selection is based on QBS or CQS, the top-ranked Consultant is invited to negotiate the Contract. Only the Financial Proposal of the technically top-ranked Consultant is opened by the opening committee. All other Financial Proposals shall be returned unopened after the Contract negotiations are successfully concluded and the Contract is signed with the successful Consultant.

When the selection is based on the SSS method and if the invited Consultant meets the minimum technical score required passing, the financial proposal shall be opened and the Consultant invited to negotiate the contract.

23.3 Financial Proposals for QCBS, FBS, LCS

Following the ranking of the Technical Proposals, and after internal approvals, the Procuring Entity shall simultaneously notify in writing those Consultants whose Proposals were considered responsive to the RFP and TOR, and that have achieved the minimum qualifying technical score, advising them the following: (i) their Proposal was responsive to the RFP and TOR and met the minimum qualifying technical score; (ii) provide information relating to the Consultant's overall technical score, as well as scores obtained for each criterion and sub-criterion;(iii) their Financial Proposal will be opened at the public opening of Financial Proposals; and (iv) notify them of the date, time and location of the public opening and invite them for the opening of the Financial Proposals.

23.4 Opening of Financial Proposals

The opening date should allow the Consultants sufficient time to decide for attending the opening and shall be no less than five (5) Business Days from the date of notification of the results of the technical evaluation, described in ITC 22.1 and 22.2.

The Consultant's attendance at the opening of the Financial Proposals (in person, or online if such option is indicated in the Data Sheet) is optional and is at the Consultant's choice.

The Financial Proposals shall be opened publicly by the Procuring Entity's opening committee in the presence of the representatives of the Consultants and anyone else who chooses to attend. Any interested party who wishes to attend this public opening should contact the Procuring Entity as indicated in the Data Sheet. At the opening, the names of the Consultants, and the overall technical scores, including the break-down by criterion, shall be read aloud. The Financial Proposals will then be inspected to confirm that they have remained sealed and unopened. These Financial Proposals shall be then opened, and the total prices read aloud and recorded. Copies of the record shall be sent to all Consultants who submitted Proposals.

24. Correction of Errors

24.1 Activities and items described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, and no corrections are made to the Financial Proposal.

24.2 Time-Based Contracts-If a Time-Based contract form is included in the RFP, in case of discrepancy between (i) a partial amount(sub-total) and the total amount, or (ii)between the amount derived by multiplication of unit price with quantity and the total price, or (iii) between figures and words, the later will prevail. In case of discrepancy between the Technical and Financial Proposals in indicating quantities of input, the Technical Proposal prevails and the Procuring Entity's evaluation committee shall correct the quantification indicated in the Financial Proposal so as to make it consistent with that indicated in the Technical Proposal, apply the relevant unit price included in the Financial Proposal to the corrected quantity, and correct the total Proposal cost.

24.3 Lump-Sum Contracts - If a Lump-Sum contract form is included in the RFP, the Consultant is deemed to have included all prices in the Financial Proposal, so neither arithmetical correction nor price adjustments shall be made. The total price, net of taxes understood as per ITC 24 below, specified in the Financial Proposal (Form FIN-1) shall be considered as the offered price.

25. Taxes

25.1 Subject to ITC 24.2, all taxes are deemed to be included in the Consultant's financial proposal as separate items, and, therefore, considered in the evaluation.

25.2 All local identifiable taxes levied on the contract in voices (such as sales tax, VAT, excise tax, or any similar taxes or levies) and in come and withholding tax payable to Kenya on the remuneration of non-resident Experts for the services rendered in Kenya are dealt with in accordance with the instructions in the Data Sheet.

26. Conversion to Single Currency

26.1 For the evaluation purposes, prices shall be converted to a single currency using the selling rates of exchange, source and date indicated in the Data Sheet.

27. Abnormally Low Prices

27.1 An Abnormally Low Price is one where the financial price, in combination with other constituent elements of the proposal, appears unreasonably low to the extent that the price raises material concerns with the Procuring Entity as to the capability of the Consulting firm to perform the Contract for the offered price.

27.2 In the event of identification of a potentially Abnormally Low Price by the evaluation committee, the Procuring Entity shall seek written clarification from the firm, including a detailed price analyses of its price in relation to the subject matter of the contract, scope, delivery schedule, allocation of risk sand responsibilities and any other requirements of the RFP document.

27.3 After evaluation of the price analyses, if the Procuring Entity determines that the firm has failed to demonstrate its capability to perform the contract for the offered price, the Procuring Entity shall reject the firm's proposal.

28. Abnormally High Prices

28.1 An abnormally high price is one where the proposal price, in combination with other constituent elements of the proposal, appears unreasonably too high to the extent that the Procuring Entity is concerned that it (the Procuring Entity) may not be getting value for money or it may be paying too high a price for the contract compared with market prices or that genuine competition between Consultants is compromised.

28.2 In case of an abnormally high tender price, the Procuring Entity shall make a survey of the market prices, check if the estimated cost of the contract is correct, and review the RFP to check if the specifications, TOR, scope of work and conditions of contract are contributory to the abnormally high proposals. The Procuring Entity may also seek written clarification from the Consultants on the reason or the high proposal price. The Procuring Entity shall proceed as follows:

- i) If the proposal price is abnormally high based on wrong estimated cost of the contract, the Procuring Entity may accept or not accept the proposal depending on the Procuring Entity's budget considerations.
- ii) If specifications, TOR, scope of work and/or conditions of contract are contributory to the abnormally high proposal prices, the Procuring Entity shall reject all proposals and may re-invite for proposals for the contract based on revised estimates, specifications, TOR, scope of work and conditions of contract.

28.3 If the Procuring Entity determines that the Proposal Price is abnormally too high because genuine competition between Consultants is compromised (*often due to collusion, corruption or other manipulations*), the Procuring Entity shall reject all Proposals and shall institute or cause competent Government Agencies to institute an investigation on the cause of the compromise, before re-inviting for proposals.

29. Combined Quality and Cost Evaluation

a. Quality and Cost Based Selection (QCBS) Method

29.1 In the case of Quality and Cost Based Selection (QCBS), the total score is calculated by weighting the technical and financial scores and adding them as per the formula and instructions in the Data Sheet. The Consultant that achieves the highest combined technical and financial score will be notified and invited for negotiations.

b. Fixed Budget Selection (FBS) Method

29.2 In the case of FBS, those Proposals that exceed the budget indicated in ITC 14.1.4 of the Data Sheet shall be rejected. The Procuring Entity's evaluation committee will select the Consultant with the highest-ranked Technical Proposal that does not exceed the budget indicated in the RFP, notify and invite such Consultant to negotiate the Contract.

c. Least Cost Selection (LCS) Method

29.3 In the case of Least-Cost Selection (LCS), the Procuring Entity's evaluation committee will select the Consultant whose Proposal is the lowest evaluated total price among those Proposals that achieve the minimum technical score required to pass, notify the Consultant and invite the Consultant to negotiate the Contract.

d. Combined Technical and Evaluation Report

29.4 The evaluation committee shall prepare a combined technical and financial evaluation report, with specific recommendations for award or otherwise and subject to the required approvals within the Procuring Entity prior to notifications and invitation of Consultant for negotiations.

30. Notification of Intention to enter into a Contract/Notification of Award

30.1 The Procuring Entity shall send to each Consultant (that has not already been notified that it has been unsuccessful) the Notification of Intention to Award the Contract to the successful Consultant. The **Notification of Intention to enter into a Contract / Notification of Award** shall contain, at a minimum, the following information:

- i) The name and address of the Consultant with whom the Procuring Entity successfully negotiated a contract;
- ii) the contract price of the successful Proposal;
- iii) a statement of the reasons why the recipient's Proposal was unsuccessful
- iv) the expiry date of the Standstill Period, and
- v) instructions on how to request a debriefing and/or submit a complaint during the standstill period;

31. Standstill Period

31.1 The Standstill Period shall be the number of days stated in the Data Sheet. The Standstill Period commences the day after the date the Procuring Entity has transmitted to each Consultant (that has not already been notified that it has been unsuccessful) the Notification of Intention to Award the Contract. The Contract shall not be signed earlier than the expiry of the Standstill Period. This period shall be allowed for aggrieved Consultants to lodge an appeal. The procedure for appeal and the authority to determine the appeal or complaint is as indicated in the Data Sheet.

D. NEGOTIATIONS AND AWARD

32 Negotiations

32.1 The negotiations will be held at the date and address indicated in the Data Sheet with the Consultant's representative(s) who must have written power of attorney to negotiate and sign a Contract on behalf of the Consultant.

32.2 The evaluation committee shall prepare minutes of negotiations that are signed by the Accounting Officer and the Consultant's authorized representative.

32.3 Availability of Key Experts

The invited Consultant shall confirm the availability of all Key Experts included in the Proposal as a pre-requisite to the negotiations, or, if applicable, a replacement in accordance with ITC 12. Failure to confirm the Key Experts' availability may result in the rejection of the Consultant's Proposal and the Procuring Entity proceeding to negotiate the Contract with the next-ranked Consultant.

32.4 Notwithstanding the above, the substitution Key Experts at the negotiations may be considered if due solely to circumstances outside the reasonable control of and not foreseeable by the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall offer a substitute Key Expert within the period of time specified in the letter of invitation to negotiate the Contract, who shall have equivalent or better qualifications and experience than the original candidate.

32.5 Technical negotiations

The technical negotiations include discussions of the Terms of Reference (TORs), the proposed methodology, the Procuring Entity's inputs, the special conditions of the Contract, and finalizing the "Description of Services" part of the Contract. These discussions shall not substantially alter the original scope of services under the TOR or the terms of the contract, lest the quality of the final product, its price, or the relevance of the initial evaluation be affected.

32.6 Financial negotiations

The financial negotiations include the clarification of the Consultant's tax liability in Kenya and how it should be reflected in the Contract. All applicable taxes shall be itemized separately and included in the contract price.

32.7 If the selection method included cost as a factor in the evaluation (that is QCBS, FBS, LCS), the unit rates and the total price stated in the Financial

Proposal for a Lump-Sum contract shall not be negotiated.

- 32.8 Where QBS or CQS methods was used for a *Lump-sum Contract* as indicated in the RFP, the unit rates negotiations shall not take place, except when the offered Key Experts and Non-Key Experts' remuneration rates are much higher than the typically charged rates by consultants in similar contracts or the professional practice. In such case, the Procuring Entity may ask for clarifications and, if the fees are very high, ask to change the rates. The format for (i) providing information on remuneration rates in the case of QB Sand CQS; and (ii) clarifying remuneration rates' structure under this Clause, is provided in Appendix A to the Financial Form FIN-3: Financial Negotiations – Breakdown of Remuneration Rates. If after the clarifications, the price is still considered too high, the Procuring Entity may terminate the negotiation and invite the next ranked Consultant to open its financial proposal and negotiate the contract.
- 32.9 In the case of a *Time- Based contract*, negotiation of unit rates shall not take place, except when the offered Key Experts and Non-Key Experts' remuneration rates are much higher than the typically charged rates by consultants in similar contracts. In such case, the Procuring Entity may ask for clarifications and, if the fees are very high, ask to change the rates. The format for (i) providing information on remuneration rates in the case of QBS and CQS; and (ii) clarifying remuneration rates 'structure under this Clause, is provided in Appendix A to the Financial Form FIN-3: Financial Negotiations- Breakdown of Remuneration Rates. If after the clarifications, the price is still considered too high, the Procuring Entity may terminate the negotiation and invite the next ranked Consultant for negotiations.
- 32.10 Where SSS method was used as indicated in the RFP, both the unit rates and total price shall be negotiated. If the negotiations fail, the Procuring Entity shall terminate the Consultant selection process. In that event, the Procuring Entity shall review the consultancy requirements and market conditions prior to deciding to use an appropriate selection method to again procure the consulting services.

33. Conclusion of Negotiations

- 33.1 The negotiations are concluded with a review of the finalized draft Contract, which then shall be initialed by the Accounting Officer and the Consultant's authorized representative and minutes prepared to record the outcome of the negotiations.
- 33.2 If the negotiations fail, the Procuring Entity shall inform the Consultant in writing of all pending issues and disagreements and provide a final

opportunity to the Consultant to respond. If disagreement persists, the Procuring Entity shall terminate the negotiations informing the Consultant of the reasons for doing so. The Procuring Entity will invite the next-ranked Consultant to negotiate a Contract. Once the Procuring Entity commences negotiations with the next-ranked Consultant, the Procuring Entity shall not reopen the earlier negotiations.

34. Letter of Award

34.1 Upon expiry of the Standstill Period, specified in ITC 28.1, after satisfactorily addressing any appeal that has been filed within the Standstill Period, and upon successful negotiations, the Procuring Entity shall send a Letter of Award to the successful Consultant. The letter shall confirm the Procuring Entity's award of Contract to the successful Consultant and requesting the Consultant to sign and return the draft negotiated Contract within Twenty-One (21) Days from the date of the Letter of Award.

35. Signing of Contract

35.1 The Contract shall be signed prior to the expiration of the Proposal Validity Period and promptly after expiry of the Standstill Period, specified in ITC 28.1 and upon satisfactorily addressing any complaint that has been filed within the Standstill Period.

35.2 The Consultant is expected to commence the assignment on the date and at the location specified in the Data Sheet.

36. Publication of Procurement Contract

36.1 Within the period specified in the Data Sheet, the Procuring Entity shall publish the awarded Contract which shall contain, at a minimum, the following information: (a) name and address of the Procuring Entity; (b) name and reference number of the contract being awarded, (c) the selection method used; (d) names of the consultants that submitted proposals; (e) names of all Consultants whose Proposals were rejected or were not evaluated; (f) the name of the successful consultant, the final total contract price, the contract duration and a summary of its scope.

36.2 Consider carefully the information on Consultants to be published, particularly evaluation by the Procuring Entity, to avoid disclosing information which can facilitate bid-rigging formation going forward. Suggest amendment as follows:

36.3 The awarded Contract shall be published on the Procuring Entity's website with free access if available and in the official procurement tender portal.

37. Procurement Related Complaint and Administrative Review

37.1 The procedures for making Procurement-related Complaints shall be specified in the TDS.

37.2 A request for administrative review shall be made in the form provided under contract forms.

SECTION 2 (B). DATA SHEET

| Reference to ITC Clause | PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERERS |
|------------------------------|--|
| A. General Provisions | |
| 1(j) | Electronic procurement system shall be used: (a) Yes ___(b) No <input checked="" type="checkbox"/> ___ |
| 2.1 | <p>Name of the Procuring Entity: Lake Victoria North Water Works Development Agency.</p> <p>The consultant selection method is:</p> <p><u>Quality and Cost Based Selection Method (QCBS)</u> <input checked="" type="checkbox"/>] Quality Based Selection Method (QBS) <input type="checkbox"/>] Least Cost Selection Method (LCS) <input type="checkbox"/>] Consultant Qualification Selection Method (CQS) <input type="checkbox"/>] Fixed Budget Selection Method (FBS) <input type="checkbox"/>] Single Source Selection Method (SSS) <input type="checkbox"/>]</p> |
| 2.2 | <p>Financial Proposal to be submitted together with Technical Proposal in separate envelopes: Yes</p> <p>The name of the assignment is: Consultancy Services for Design Review and Supervision of Construction Works for Kapsabet Town Sewerage Project</p> |
| 2.3 | <p>A pre-proposal conference will be held: Yes and Mandatory.</p> <p>Date of pre-proposal conference: 7th February 2024</p> |

| Reference to ITC Clause | PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERERS |
|------------------------------------|---|
| | Time: 10.30 am at Kapsabet-Nandi Water and Sewerage Company offices in Kapsabet Town. Thereafter, there will be a visit to the proposed project sites |
| 2.4 | <p>The Procuring Entity will provide the following inputs, project data, reports, etc. to facilitate the preparation of the Proposals:</p> <ul style="list-style-type: none"> • Detailed design Report for Kapsabet Town Sewerage Project prepared by MESSRS KIRI Consult Consulting Engineers. • Detailed Design for Keben Dam Project prepared by National Irrigation Authority |
| 3.3 (iv) | <p><i>Insert any other conflicting relationships</i></p> <p>The Consultant shall be disqualified if the firm or joint venture partner or associating partner had participated in any upstream work on the specific project.</p> |
| 4.1 | <p><i>[If “Unfair Competitive Advantage” applies to the selection, explain how it is mitigated, including listing the reports, information, documents, etc. and indicating the sources where these can be downloaded or obtained by the Consultants]</i></p> <p>N/A</p> |
| 6.2 | <p>Eligible consulting firms shall be those that are registered, licensed to operate and have offices in Kenya.</p> <p>Maximum number of members in the Joint Venture (JV) shall be: [2].</p> |
| 6.6 (a) | <p>The list of debarred firms and individuals is available at the PPRA’s website www.ppra.go.ke or email complaints@ppra.go.ke</p> |
| 6.7 | <p>The business will be registered with _____</p> <p>N/A</p> |
| B. Preparation of Proposals | |

| Reference to ITC Clause | PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERERS |
|-------------------------|--|
| 10.1 | <p>The Proposal shall comprise the following:</p> <p>1st Inner Envelope with the Technical Proposal: Power of Attorney to sign the Proposal TECH-1: Technical Proposal Submission Form TECH-2: Consultant’s Organization and Experience TECH-3: Comments and Suggestions TECH-4: Description of Approach, Methodology and Workplan TECH-5: Work Schedule and Planning for Deliverables TECH-6: Team Composition, Assignment, and Key Experts’ Input TECH-7: Mandatory Documentary Evidence TECH 8: Self Declaration Forms and Code of Ethics TECH 9: Tender Securing Declaration Form</p> <p>AND</p> <p>2nd Inner Envelope with the Financial Proposal: (1) FIN-1: Financial Proposal Submission Form (2) FIN-2: Summary of Costs (3) FIN-3: Breakdown of Remuneration (4) FIN-4: Breakdown of Reimbursable Expenses</p> |
| 11.1 | Participation of Sub-consultants, and Key Experts in more than one Proposal is permissible: Yes, provided the Key experts have signed the CVs as required. |
| 12.1 | Proposals must remain valid for 120 days after the proposal submission deadline. |
| 13.1 | Clarifications may be requested no later than 7 days prior to the submission deadline. The contact information for requesting clarifications is: E-mail: procurement@lvnwwda.go.ke and Cc info@lvnwwda.go.ke |
| 14.1 (b) (do not | Estimated input of Key Experts’ time-input: 140 man-months |

| Reference to ITC Clause | PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERERS |
|---|---|
| use for Fixed Budget method) | <p>The consultancy contract will be for a period of Forty (40) Months with the following breakdown</p> <ul style="list-style-type: none"> • Four (4) Months for Design Review and Preparation of Tender Documents for Northern and Southern Sewerage Systems and Feasibility Study and Preparation of PPP Tender Documents for Keben Dam Water Supply Project. • Six (6) Months for Procurement Support for the Southern System (Rehabilitation and Augmentation Works). • Eighteen (18) Months for Supervision of Construction Works for Southern System. • Twelve (12) Months for Defects Notification Period for Southern System. |
| 14 (c) and 26.2 [use for Fixed Budget method] | N/A |
| 14 (d) | Key Experts shall not appear in more than one proposal: NO, Key experts may appear in more than one proposal provided the experts sign the CVs as required |
| 16.1(b) | <p>The Financial Proposal will include (but not limited to) the following reimbursable expenses:</p> <ol style="list-style-type: none"> (1) A per diem allowance, including hotel, for experts for every day of absence from the home office for the purposes of the Services; (2) Cost of travel by the most appropriate means of transport and the most direct practicable |

| Reference to ITC Clause | PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERERS |
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| | <p>route;</p> <p>(3) Cost of office accommodation, including overheads and back-stop support;</p> <p>(4) Communications costs;</p> <p>(5) Cost of purchase or rent or freight of any equipment required to be provided by the Consultants;</p> <p>(6) Cost of reports production (including printing) and delivering to the Procuring Entity;</p> <p>(7) Other allowances where applicable and provisional or fixed sums (if any)]</p> |
| 16.2 | A price adjustment provision applies to remuneration rates: NO |
| 16.3 | "Information on the Consultant's tax obligations in the Procuring Entity's country can be found on the Kenya Revenue Authority website: www.kra.go.ke |
| 16.4 | <p>[The Financial Proposal shall be stated in the following currencies: Kenya Shillings</p> <p>The Financial Proposal should state local costs in Kenya Shillings: Yes</p> |
| C. Submission, Opening and Evaluation | |
| 17.1 | The Consultants shall not have the option of submitting their Proposals electronically. |
| 17.5 | <p>The Consultant must submit:</p> <p>(a) Technical Proposal: one (1) original and <u> 2 </u> copies;</p> <p>(b) Financial Proposal: one (1) original and <u> 2 </u></p> |

| Reference to ITC Clause | PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERERS |
|-------------------------|--|
| | copies; |
| 18.5 | <p>The Proposals must be submitted no later than:</p> <p>Date: 27th February, 2024</p> <p>Time: 1200Hrs East African Time</p> <p>The Proposal submission address is:</p> <p>CHIEF EXECUTIVE OFFICER LAKE VICTORIA NORTH WATER WORKS DEVELOPMENT AGENCY P.O. BOX 673 - 50100 KAKAMEGA TEL: 056- 2030795 FAX: 056-2031506 E-mail: info@lvnwwda.go.ke</p> |
| 20.1 | <p>An online option of the opening of the Technical Proposals is offered: No</p> <p>The opening shall take place at:</p> <p>Street Address: Kefinco House off Kakamega-Kisumu Highway Room number: Boardroom City: Kakamega County: Kakamega Country: Kenya</p> <p>Date: 27th February, 2024 Time: 1205Hrs East African Time</p> |
| 20.2 | <p>In addition, the following information will be read aloud at the opening of the Technical Proposals: N/A</p> |
| 22.1 | <p>Other eligibility and mandatory criteria shall be: Net Current Assets of at Least KES 4 Million as evidenced by the firm's and partner's audited financial statements over the last three (3) years.</p> |

| Reference to ITC Clause | PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERERS | | | | | | | | | | | | | | | |
|-------------------------|---|-------------|--|--------|----|-------------|---|----|--------------|---|----|---------------------|---|----|----------------|---|
| 22.2 | <p>The Criteria, sub-criteria, and point system for the evaluation of the Technical Proposals:</p> <p><u>Points</u></p> <p>(i) Specific experience of the Consultant, as a firm, relevant to the Assignment within the past five (5) years: 20 points</p> <p>(ii) Adequacy and quality of the proposed methodology, and work plan in responding to the Terms of Reference (TORs):</p> <p>(a) Technical approach and methodology 30 points</p> <p>(b) Work plan 5 points</p> <p>© Organization and staffing 5 points</p> <p>Total points for criterion (ii): 40</p> <p><i>[Notes to Consultant: The Procuring Entity will assess whether the proposed methodology is clear, responds to the TORs, work plan is realistic and implementable; overall team composition is balanced and has an appropriate skill mix; and the work plan has right input of Experts]</i></p> <p>(iii) Key Experts' qualifications and competence for the Assignment: <i>{Notes to Consultant: each position number corresponds to the same for the Key Experts in Form TECH-6 to be prepared by the Consultant}</i></p> <table border="1" data-bbox="614 1630 1150 1989"> <thead> <tr> <th colspan="2">Key experts</th> <th>Points</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td>Team Leader</td> <td>5</td> </tr> <tr> <td>2.</td> <td>Dam Engineer</td> <td>3</td> </tr> <tr> <td>3.</td> <td>Sanitation Engineer</td> <td>3</td> </tr> <tr> <td>4.</td> <td>Water Engineer</td> <td>3</td> </tr> </tbody> </table> | Key experts | | Points | 1. | Team Leader | 5 | 2. | Dam Engineer | 3 | 3. | Sanitation Engineer | 3 | 4. | Water Engineer | 3 |
| Key experts | | Points | | | | | | | | | | | | | | |
| 1. | Team Leader | 5 | | | | | | | | | | | | | | |
| 2. | Dam Engineer | 3 | | | | | | | | | | | | | | |
| 3. | Sanitation Engineer | 3 | | | | | | | | | | | | | | |
| 4. | Water Engineer | 3 | | | | | | | | | | | | | | |

| Reference to ITC Clause | PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERERS | | |
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| | 5. | Structural Engineer | 3 |
| | 6. | Engineering Surveyor | 3 |
| | 7. | Resident Engineer | 4 |
| | 8. | Assistant Resident Engineer | 2 |
| | 9. | Costs Engineer/Quantity Surveyor | 2 |
| | 10. | Socio - Economist | 2 |
| | 11. | Environmental Expert | 2 |
| | 12. | Electromechanical Engineer | 2 |
| | 13. | Hydrologist | 2 |
| | 14. | PPP Expert | 2 |
| | 15. | CAD Technician | 2 |
| | Total | | 40 |
| | Total points for criterion (iii): | | 40 |
| | <p>The number of points to be assigned to each of the above Key Experts positions shall be determined considering the following three sub-criteria and relevant percentage weights:</p> | | |
| | <p>(1) General qualifications (Level and relevance of education, professional qualifications, status of licensing, training, and general experience): 20 %</p> | | |
| | <p>(2) Adequacy for the Assignment (relevant professional hands-on experience on similar assignments and tasks – scope, complexity, duration, quantum, current status, etc in the last ten years): <u>70%</u></p> | | |
| | <p>(3) Relevant experience in Kenya (number, geographical spread and status of water and sanitation infrastructure consultancy assignments undertaken within Kenya in the last ten years): 5 %</p> | | |

| Reference to ITC Clause | PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERERS |
|-------------------------|--|
| | <p>(4) Citizen of Kenya: 5 % <i>[An expert without current license, where a license is a statutory requirement, shall be assigned a NIL score]</i></p> <p style="text-align: center;">Total weight: 100%</p> <p>(iv) Participation by Kenya citizens among proposed Key Experts: N/A</p> <p><i>[not to exceed 10 points] [Sub-criteria shall not be provided. Calculated as a ratio of the Kenyan Key Experts' time-input (in person-months) to the total number of Key Experts' time-input (in person-months) in the Consultant's Technical Proposal]</i></p> <p>Total points for the five criteria: 100</p> <p>The minimum technical score (St) required to pass is: 75 Points</p> |
| 23.4 | An online option of the opening of the Financial Proposals is offered: No |
| 25.2 | <p>For the evaluation, the Procuring Entity will include separate items of: (a) all local identifiable indirect taxes such as sales tax, excise tax, VAT, or similar taxes levied on the contract's invoices; and (b) all additional local indirect tax on the remuneration of services rendered by experts.</p> <p>If a Contract is awarded, at Contract negotiations, all such taxes will be discussed, finalized using the itemized list and included in the Contract amount as a separate line, also indicating which taxes shall be paid by the Consultant and which taxes are withheld and paid by the Procuring Entity on behalf of the Consultant.</p> |

| Reference to ITC Clause | PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERERS |
|-------------------------|---|
| 26.1 | <p>The single currency for the conversion of all prices expressed in various currencies into a single one is: Kenya Shillings</p> <p>The official source of the selling exchange rate is: Central Bank of Kenya</p> <p>The date of the exchange rate is the deadline for submission of proposals</p> |
| 29.1 (QCBS only) | <p>The lowest evaluated Financial Proposal (Fm) is given the maximum financial score (Sf) of 100.</p> <p>The formula for determining the financial scores (Sf) of all other Proposals is calculated as following: $Sf = 100 \times Fm / F$, in which "Sf" is the financial score, "Fm" is the lowest price, and "F" the price of the proposal under consideration.</p> <p>The weights given to the Technical (T) and Financial (P) Proposals are: T = 0.8, and P = 0.2</p> <p>Proposals are ranked according to their combined technical (St) and financial (Sf) scores using the weights (T = the weight given to the Technical Proposal; P = the weight given to the Financial Proposal; T + P = 1) as following: $S = St \times T\% + Sf \times P\%$.</p> |
| 31 | <p>The Standstill Period shall be: 14 days <i>[insert the minimum number of days legally allowed for appeals to Administrative Review Board]</i></p> <p>The procedures for making a procurement related complaint are detailed in the Public Procurement and Asset Disposal Act and Regulations. If a Consultant wishes to make a procurement related complaint or appeal, the Consultant shall submit its complaint to the Public Procurement Administrative Review Board.</p> |

| | |
|----------------------------------|---|
| Reference to ITC Clause | PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERERS |
| D. Negotiations and Award | |
| 32.1 | <p>Expected date and address for contract negotiations: Date: 1st working day after the 14th Day after the date of Notification of the Results of the Combined Evaluation of Proposals.</p> <p>Address:</p> <p>LAKE VICTORIA NORTH WATER WORKS DEVELOPMENT AGENCY P.O. BOX 673 - 50100 KAKAMEGA TEL: 056- 2030795 FAX: 056-2031506 E-mail: info@lvnwwda.go.ke</p> |
| 35.2 | <p>Expected date for the commencement of the Services: Date: Seven days after contract signing at: Kapsabet Town, Nandi County</p> |
| 36.1 | <p>The publication of the contract award information following the completion of the contract negotiations and contract signing will be done as following: The publication will be done within 14 days after the contract signing [<i>Insert the legally recognised days for publishing the Contract Award Notice</i>]</p> |
| 37.1 | <p>The procedures for making a Procurement-related Complaints are detailed in the “Regulations” available from the PPRA Website www.ppra.go.ke or email complaints@ppra.go.ke. If a Tenderer wishes to make a Procurement-related Complaint, the Tenderer should submit its complaint following these procedures, in writing (by the quickest means available, that is either by hand delivery or email to:</p> <p>For the attention: Wamalwa M. Joel</p> <p>Title/position: Chief Executive Officer</p> |

| Reference to ITC Clause | PARTICULARS OF APPENDIX TO INSTRUCTIONS TO TENDERERS |
|-------------------------|---|
| | <p>Procuring Entity: Lake Victoria North Water Works Development Agency</p> <p>Email address: info@lvnwwda.go.ke , procurement@lvnwwda.go.ke</p> <p>In summary, a Procurement-related Complaint may challenge any of the following:</p> <p>(i) the terms of the Tender Documents; and</p> <p>(ii) the Procuring Entity's decision to award the contract.</p> |

SECTION 3. TECHNICAL PROPOSAL - STANDARD FORMS

{Notes to Consultant shown in brackets {} throughout Section 3 provide guidance to the Consultant to prepare the Technical Proposal; they should not appear on the Proposals to be submitted.}

1 FORMTECH-1: TECHNICAL PROPOSAL SUBMISSION FORM

{Location, Date}

To: *[Name and address of Procuring Entity]*

Dear Sirs:

We, the undersigned, offer to provide the consulting services for *[Insert title of assignment]* in accordance with your RFP dated *[Insert Date]* and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and a Financial Proposal sealed in a separate envelope.

{If the Consultant is a joint venture, insert the following: We are submitting our Proposal in association/as a consortium/as a joint venture with: {Insert a list with full name and the legal address of each member, and indicate the lead member}. We have attached a copy {insert: "of our letter of intent to form a joint venture" or, if a JV is already formed, "of the JV agreement"} signed by every participating member, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture.

OR

{If the Consultant's Proposal includes Sub-consultants, insert the following:} We are submitting our Proposal with the following firms as Sub-consultants: {insert a list with full name and address of each Sub-consultant.}

We hereby declare that:

- a) All the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification by the Procuring Entity or maybe sanctioned by the PPRA.
- b) Our Proposal shall be valid and remain binding upon us for the period of time specified in the Data Sheet, Clause 12.1.

- c) We have no conflict of interest in accordance with ITC3.
- d) We meet the eligibility requirements as stated in ITC6, and we confirm our understanding of our obligation to abide by the Government's policy in regard to corrupt, fraudulent and prohibited practices as per ITC5.
- e) In competing for (and, if the award is made to us, in executing) the Contract, we undertake to observe the laws against fraud and corruption, including bribery, as well as laws against anti-competitive practices, including bid rigging in force in Kenya; we hereby certify that we have taken steps to ensure that no person acting for us or on our behalf engages in any type of Fraud and Corruption or anti-competitive practices.
- f) We confirm that we are not insolvent, in receivership, bankrupt or on the process of being of being wound up.
- g) The Consultant shall declare in the Technical Proposal Submission Form, that in competing for and executing a contract, it shall undertake to observe the laws of Kenya against fraud and corruption including bribery, as well as against anti-competitive practices including bid-rigging.
- h) We are not guilty of any serious violation of fair employment laws and practices. We undertake to observe the laws of Kenya against fraud and corruption including bribery, as well as against collusive and anti-competitive practices, including bid rigging. To this effect we have signed the "Certificate of Independent Proposal Determination" attached below. We also undertake to adhere by the Code of Ethics for persons participating in Public Procurement and Asset Disposal Activities in Kenya, copy available from **www.ppra.go.ke** during the procurement process and the execution of any resulting contract.
- i) We, along with any of our sub-consultants are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the PPRA.
- e) Except as stated in the ITC12 and Data Sheet, we undertake to negotiate a Contract on the basis of the proposed Key Experts. We accept that the substitution of Key Experts for reasons other than those stated in ITC Clause12 andITC Clause29.3 and 29.4 may lead to the termination of Contract negotiations.
- j) Our Proposal is binding upon us and subject to any modifications resulting from the Contract negotiations.

(k) We understand that the Procuring Entity is not bound to accept any Proposal that it receives.

We undertake, if our Proposal is accepted and the Contract is signed, to initiate the Services related to the assignment no later than the date indicated in Clause 32.2 of the Data Sheet.

We remain

Yours

Authorized

Signature *{In full and initials}*: Name and

Title of Signatory:

Name of Consultant
(company's name or JV's name):

Contact information *(phone and e-mail)*:

{For a joint venture, either all members shall sign or only the lead member, in which case the power of attorney to sign on behalf of all members shall be attached}

2 CERTIFICATE OF INDEPENDENT PROPOSAL DETERMINATION

I, the undersigned, in submitting the accompanying TECHNICAL PROPOSAL SUBMISSION FORM to the _____

_____ [Name of Procuring Entity]

for: _____ [Name and number of tender] in response to the request for tenders made by: _____ [Name of Tenderer] do hereby _____ make _____ the following statements that I certify to be true and complete in every respect:

I certify, on behalf of _____ [Name of Tenderer] that:

1. I have read and I understand the contents of this Certificate;
2. I understand that the Tender will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am the authorized representative of the Tenderer with authority to sign this Certificate, and to submit the Tender on behalf of the Tenderer;
4. For the purposes of this Certificate and the Tender, I understand that the word "competitor" shall include any individual or organization, other than the Tenderer, whether or not affiliated with the Tenderer, who:
 - Has been requested to submit a Tender in response to this request for tenders;
 - could potentially submit a tender in response to this request for tenders, based on their qualifications, abilities or experience;
5. The Tenderer discloses that [check one of the following, as applicable]:
 - The Tenderer has arrived at the Tender independently from, and without consultation, communication, agreement or arrangement with, any competitor;
 - The Tenderer has entered into consultations, communications, agreements or arrangements with one or more competitors regarding this request for tenders, and the Tenderer discloses, in the attached document(s), complete details thereof, including the names of the competitors and the nature of, and reasons for, such consultations, communications, agreements or

arrangements;

- 6 In particular, without limiting the generality of paragraphs(5)(a) or (5)(b)above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
 - prices;
 - methods, factors or formulas used to calculate prices;
 - the intention or decision to submit, or not to submit, a proposal; or
 - the submission of a proposal which does not meet the specifications of the request for proposals; except as specifically disclosed pursuant to paragraph(5)(b) above;
7. In addition, there has been no consultation, communication, agreement or arrangement with any competitor regarding the quality, quantity, specifications or delivery particulars of the works or services to which this RFP relates, except as specifically authorized by the procuring authority or as specifically disclosed pursuant to paragraph(5)(b) above;
- 8 The terms of the RFP have not been, and will not be, knowingly disclosed by the Consultant, directly or indirectly, to any competitor, prior to the date and time of the official proposed opening, or of the awarding of the Contract, which ever comes first, unless otherwise required by law or as specifically disclosed pursuant to paragraph(5)(b) above.

Name

Title

Date

[Name, title and signature of authorized agent of Consultant and Date]

3. APPENDIX TO FORM OF PROPOSAL ON FRAUD AND CORRUPTION CLAUSE

(Appendix shall not be modified)

Purpose

the government of Kenya's Anti-Corruption and Economic Crime laws and their sanction's policies and procedures, Public Procurement and Asset Disposal Act (*no. 33 of 2015*) and its Regulation, and any other Kenya's Acts or Regulations related to Fraud and Corruption, and similar offences, shall apply with respect to Public Procurement Processes and Contracts that are governed by the laws of Kenya.

Requirements

The Government of Kenya requires that all parties including Procuring Entities, Tenderers, (applicants/proposers), Consultants, Contractors and Suppliers; any Sub-contractors, Sub-consultants, Service providers or Suppliers; any Agents (whether declared or not); and any of their Personnel, involved and engaged in procurement under Kenya's Laws and Regulation, observe the highest standard of ethics during the procurement process, selection and contract execution of all contracts ,and refrain from Fraud and Corruption and fully comply with Kenya's laws and Regulations as per paragraphs 1.1 above.

Kenya's public procurement and asset disposal act (*no.33 of 2015*) under Section 66 describes rules to be followed and actions to be taken in dealing with Corrupt, Coercive, Obstructive, Collusive or Fraudulent practices, and Conflicts of Interest in procurement including consequences for offences committed. A few of the provisions noted below highlight Kenya's policy of no tolerance for such practices and behavior:

- (1) a person to whom this Act applies shall not be involved in any corrupt, coercive, obstructive, collusive or fraudulent practice; or conflicts of interest in any procurement or asset disposal proceeding;
- (2) A person referred to under sub section (1) who contravenes the provisions of that sub-section commits an offence;
- (3) Without limiting the generality of the subsection (1) and (2), the person shall be: -

- a) disqualified from entering into a contract for a procurement or asset disposal proceeding; or
 - b) if a contract has already been entered into with the person, the contract shall be avoidable;
- (4) The voiding of a contract by the procuring entity under subsection (7) does not limit any legal remedy the procuring entity may have;
- (5) An employee or agent of the procuring entity or a member of the Board or committee of the procuring entity who has a conflict of interest with respect to a procurement –
- i) Shall not take part in the procurement proceedings;
 - ii) shall not, after a procurement contract has been entered into, take part in any decision relating to the procurement or contract; and
 - iii) Shall not be a sub-contractor for the tender to whom was awarded contract, or a member of the group of tenderers to whom the contract was awarded, but the sub-contractor appointed shall meet all the requirements of this Act.
- (6) An employee, agent or member described in subsection (1) who refrains from doing anything prohibited under that subsection, but for that subsection, would have been within his or her duties shall disclose the conflict of interest to the procuring entity;
- (7) If a person contravenes sub section (1) with respect to a conflict of interest described in subsection (5)(a) and the contract is awarded to the person or his relative or to another person in whom one of them had a direct or indirect pecuniary interest, the contract shall be terminated and all costs incurred by the public entity shall be made good by the awarding officer.
- (8) Incompliance with Kenya's laws, regulations and policies mentioned above, the Procuring Entity:
- a) Defines broadly, for the purposes of the above provisions, the terms set forth below as follows:
 - i) “corrupt practice” is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
 - ii) “fraudulent practice” is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;

- iii) "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
 - iv) "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
 - v) "obstructive practice" is:
 - i) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede investigation by Public Procurement Regulatory Authority (PPRA) or any other appropriate authority appointed by Government of Kenya into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
 - ii) Acts intended to materially impede the exercise of the PPRA's or the appointed authority's inspection and audit rights provided for under paragraph 2.3e. below.
- b) Defines more specifically, in accordance with the above procurement Act provisions set forth for fraudulent and collusive practices as follows:
- "fraudulent practice" includes a misrepresentation of fact in order to influence a procurement or disposal process or the exercise of a contract to the detriment of the procuring entity or the tenderer or the contractor,
- and includes collusive practices amongst tenderers prior to or after tenders submission designed to establish tender prices at artificial non-competitive levels and to deprive the procuring entity of the benefits of free and open competition.
- c) Rejects a proposal or award¹ of a contract if PPRA determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
 - d) Pursuant to the Kenya's above stated Acts and Regulations, may sanction

or debar or recommend to appropriate authority (ies) for sanctioning and debarment of a firm or individual, as applicable under the Acts and Regulations;

- e) Requires that a clause be included in Tender documents and Request for Proposal documents requiring (i) Tenderers (applicants/proposers), Consultants, Contractors, and Suppliers and their Sub-contractors, Sub-consultants, Service providers, Suppliers, Agents personnel, permit the PPRA or any other appropriate authority appointed by Government of Kenya to inspect² all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the PPRA or any other appropriate authority appointed by Government of Kenya; and
- f) Pursuant to Section 62 of the above Act, requires Applicants/Tenderers to submit along with their Applications/Tenders/Proposals a “Self-Declaration Form” as included in the procurement document declaring that they and all parties involved in the procurement process and contract execution have not engaged/will not engage in any corrupt or fraudulent practices.

¹For the avoidance of doubt, a party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in

A consultancy, and tendering, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

² Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Investigating Authority or persons appointed by the Procuring Entity to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

3. FORM TECH-2: CONSULTANT'S ORGANIZATION AND EXPERIENCE

Form TECH-2: a brief description of the Consultant's organization and an outline of the recent experience of the Consultant that is most relevant to the assignment. In the case of a joint venture, information on similar assignments shall be provided for each partner. For each assignment, the outline should indicate the names of the Consultant's Key Experts and Sub-consultants who participated, the duration of the assignment, the contract amount (total and, if it was done in a form of a joint venture or a sub-consultancy, the amount paid to the Consultant), and the Consultant's role/involvement.

A - Consultant's Organization

Provide here a brief description of the background and organization of your company, and-in case of a joint venture-of each member for this assignment.

B - Consultant's Experience

1. List only previous similar assignments successfully completed in the last 10 years.
2. List only those assignments for which the Consultant was legally contracted by the Procuring Entity as a company or was one of the joint venture partners. Assignments completed by the Consultant's individual experts working privately or through other consulting firms cannot be claimed as the relevant experience of the Consultant, or that of the Consultant's partners or sub-consultants, but can be claimed by the Experts themselves in their Curriculum Vitae (CV).
3. The Consultant shall substantiate their claimed experience by presenting copies of relevant documents such as the form of contract (not the whole contract), purchase order, service order, performance certificate, etc.; which shall be included in the proposal as part of *Form Tech 7 Mandatory Documentary Evidence*.

| | |
|---------------------------|---|
| Assignment name: | Approx. value of the contract [KES, US\$ etc.]: |
| Country: | Duration of assignment (months): |
| Name of Procuring Entity: | Total N ^o of staff-months of the assignment: |

| | |
|--|--|
| Contact Address: Email: | Approx. value of the services provided by your firm under the contract: |
| Start date (month/year): Completion date: | Nº of professional staff-months provided by associated Consultants: |
| Role on Assignment: (E.g. <i>Lead Member in ABC JV, or Sole Consultant</i>): | Name of senior professional staff of your firm involved and functions performed: |
| Narrative description of Assignment: | |
| Description of actual services provided by your staff within the assignment: | |
| Name of Consulting Firm: of Signatory: | Name and Title |

3 FORMTECH-3: COMMENTS AND SUGGESTIONS ON TERMS OF REFERENCE

Form TECH-3: The Consultant to provide comments and suggestions on the Terms of Reference, counterpart staff and facilities to be provided by the Procuring Entity that could improve the quality/effectiveness of the assignment; and on requirements for counterpart staff and facilities, which are provided by the Procuring Entity, including: administrative support, office space, local transportation, equipment, data, etc.

A - On the Terms of Reference

{Improvements to the Terms of Reference, if any}

B - On Counterpart Staff and Facilities

{Include comments on counterpart staff and facilities to be provided by the Procuring Entity. For example, administrative support, office space, local transportation, equipment, data, background reports, etc., if any}

4 FORMTECH-4: DESCRIPTION OF APPROACH, METHODOLOGY, AND WORK PLAN

Form TECH-4: a description of the approach, methodology and work plan in responding to the terms of reference for performing the assignment, including a detailed description of the proposed methodology and staffing for training, if the Terms of Reference specify training as a specific component of the assignment.

{The structure of your Technical Proposal:

- a) Technical Approach and Methodology
- b) Work Plan
- c) Organization and Staffing}

i) Technical Approach and Methodology. *{Please explain your understanding of the objectives of the assignment as outlined in the Terms of Reference (TORs), the technical approach, and the methodology you would adopt for implementing the tasks to deliver the expected output(s), and the degree of detail of such*

output. Please do not repeat/copy the TOR sin here.

- ii) Work Plan. {Please outline the plan for the implementation of the main activities/tasks of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Procuring Entity), and tentative delivery dates of their ports. The proposed work plan should be consistent with the technical approach and methodology, showing your understanding of the TOR and ability to translate them into a feasible working plan. A list of the final documents (including reports) to be delivered as final output(s) should be included here. The work plan should be consistent with the Work Schedule Form.}

- iii) Organization and Staffing. {Please describe the structure and composition of your team, including the list of the Key Experts, Non-Key Experts and relevant technical and administrative support staff.}

5 FORM TECH-5: WORK SCHEDULE AND PLANNING FOR DELIVERABLES

| N° | Deliverables ¹ (D-..) | Months | | | | | | | | | | | | |
|-----|--|--------|---|---|---|---|---|---|---|---|------|---|-------|--|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | n | TOTAL | |
| D-1 | {e.g., Deliverable #1: Report A | | | | | | | | | | | | | |
| | 1) data collection | | | | | | | | | | | | | |
| | 2) drafting | | | | | | | | | | | | | |
| | 3) inception report | | | | | | | | | | | | | |
| | 4) incorporating comments | | | | | | | | | | | | | |
| | 5) | | | | | | | | | | | | | |
| | 6) delivery of final report to Procuring Entity} | | | | | | | | | | | | | |
| D-2 | {e.g., Deliverable #2:.....} | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| N | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |

- 1 List the deliverables with the breakdown for activities required to produce them and other benchmarks such as the Procuring Entity's approvals. For phased assignments, indicate the activities, delivery of reports, and benchmarks separately for each phase.
- 2 Duration of activities shall be indicated in a form of a bar chart.
- 4 Include a legend, if necessary, to help read the chart.

6. FORMT ECH- 6A: TEAM COMPOSITION, ASSIGNMENT, AND KEY EXPERTS' INPUTS

| N° | Name | Expert's input (in person/month) per each Deliverable (listed in TECH-5) | | | | | | | | | | Total time-input (in Months) | | | |
|------------------------|-------------------|--|---------|-----------|-------|-----|-------|-----|-------|----------|--|------------------------------|------|-------|-------|
| | | Position | | D-1 | | D-2 | | D-3 | | D-... | | | Home | Field | Total |
| KEY EXPERTS | | | | | | | | | | | | | | | |
| K-1 | {e.g., Mr. Abbbb} | [Team Leader] | [Home] | [2 month] | [1.0] | | [1.0] | | | | | | | | |
| | | | [Field] | [0.5 m] | [2.5] | | [0] | | | | | | | | |
| K-2 | | | | | | | | | | | | | | | |
| K-3 | | | | | | | | | | | | | | | |
| | | | | | | | | | | Subtotal | | | | | |
| NON-KEY EXPERTS | | | | | | | | | | | | | | | |
| N-1 | | | [Home] | | | | | | | | | | | | |
| | | | [Field] | | | | | | | | | | | | |
| N-2 | | | | | | | | | | | | | | | |
| | | | | | | | | | | Subtotal | | | | | |
| | | | | | | | | | | Total | | | | | |

1.For Key Experts, the input should be indicated individually for the same positions as required under the ITC Data Sheet 21.2

2.Months are counted from the start of the assignment/mobilization. One (1) month equals twenty-two (22) working (billable) days. One working (billable) day shall be not less than eight (8) working (billable) hours.

3“Home” means work in the office in the expert’s country of residence. “Field” work means work carried out in Kenya, or outside the normal residence of the Expert in Kenya or any other country outside the expert’s country of residence.

 Full time input



Part time input

7. FORM TECH-6B: CURRICULUM VITAE (CV)

| | |
|------------------------|--------------------------|
| Position Title and No. | {e.g., K-1, Team Leader} |
| Name of Expert: | {Insert full name} |
| Date of Birth: | {day/month/year} |
| Country of Citizenship | |

Education: {List college/university or other specialized education, giving names of educational institutions, dates attended, degree(s)/diploma(s) obtained}

Employment record relevant to the assignment: {Starting with present position, list in reverse order. Please provide dates, name of employing organization, titles of positions held, types of activities performed and location of the assignment, and contact information of previous Procuring Entity's and employing organization(s) who can be contacted for references. Past employment that is not relevant to the assignment does not need to be included.}

| Period | Employing organization and your title/position. Contact Infor for references | Country | Summary of activities performed relevant to the Assignment |
|--------------------------|---|---------|--|
| [e.g., May 2011-present] | [e.g., Ministry of, advisor/consultant to... For references: Tel...../e-mail.....; Mr. Bbbbbb, deputy manager] | | |
| | | | |

Membership in Professional Associations and Publications: _____

Language Skills (indicate only languages in which you can work): _____

Adequacy for the Assignment:

| Detailed Tasks Assigned on Consultant's Team of Experts: | Reference to Prior Work/Assignments that Best Illustrates Capability to Handle the Assigned Tasks |
|--|---|
| {List all deliverables/tasks as in TECH- 5 in which the Expert will be involved} | |
| | |
| | |

Expert's contact information:(e-mail..... phone.....)

Certification:

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience, and I am available to undertake the assignment in case of an award. I understand that any misstatement or misrepresentation described herein may lead to my disqualification or dismissal by the Procuring Entity, and/or sanctions by the PPRA.

Name of Expert _____ Signature _____ Date _____

{day / month/year}

Name of authorized _____ Signature _____ Date _____

Representative of the Consultant (the same who signs the Proposal)

8. FORMTECH-7: MANDATORY SUPPORT DOCUMENTS

[The Consultant shall use this form to submit all the required support documentary evidence as required in the RFP, especially the mandatory and eligibility criteria specified in the Data Sheet ITC 21.1]

a) Certificate of Incorporation/Certificate of Registration

{Insert here a copy of certificate of incorporation or registration}

b) Tax Compliance Certificate

{Consultant to insert a copy of the tax compliance certificate from Kenya Revenue Authority or similar body in the case of foreign consulting firms}

c) Practice License or Certificate for the Firm

{If required, Consultant to insert a copy of the firm's practice license or registration certificate issued by the professional body specified under Data Sheet ITC 21.1}

d) Similar Consulting Assignments Experience

{Consultant to insert here copies of the form of contract, purchase order, service order, and performance certificate or similar evidence of similar assignments carried out by the firm. The assignments shall be the same as those provided under FORM TECH 2B}

e) Academic Certificates

{Consultant to insert copies of the required relevant academic certificates relevant to the assignment for all the key experts}

f) Professional Certificates

{Consultant to insert copies of professional certificates and relevant short-term trainings to demonstrate professional qualifications for all the key experts}

g) Professional Membership of Key Experts

{If applicable, Consultant to insert copies of professional membership certificate for its key experts}

h) Certificate of Independent Proposal Determination

(The Form is available on Tech FORM TECH-1: TECHNICAL PROPOSAL SUBMISSION FORM).

FORM TECH - 8: SELF-DECLARATIONFORMS

FORM SD1

SELF DECLARATION THAT THE PERSON/TENDERER IS NOT DEBARRED IN THE MATTER OF THE PUBLIC PROCUREMENT AND ASSET DISPOSAL ACT 2015.

I,, of Post Office Box being a resident of in the Republic of do hereby make a statement as follows: -

1. THAT I am the Company Secretary/Chief Executive/Managing Director/Principal Officer/Director of (Insert name of the Company) who is a Bidder in respect of **Tender No.** for..... (Insert tender title/description) for..... (Insert name of the Procuring entity) and duly authorized and competent to make this statement.
2. THAT the aforesaid Bidder, its Directors and subcontractors have not been debarred from participating in procurement proceeding under Part IV of the Act.
3. THAT what is deponed to here in above is true to the best of my knowledge, information and belief.

.....
..... (Title) (Signature) (Date)

Bidder Official Stamp

DECLARATION AND COMMITMENT TO THE CODE OF ETHICS

I (person) on behalf of (*Name of the Business/ Company/Firm*) declare that I have read and fully understood the contents of the Public Procurement & Asset Disposal Act,2015, Regulations and the Code of Ethics for persons participating in Public Procurement and Asset Disposal Activities in Kenya and my responsibilities under the Code.

I do here by commit to abide by the provisions of the Code of Ethics for persons participating in Public Procurement and Asset Disposal.

Name of Authorized signatory.....

Sign.....

Position.....

Office address..... Telephone.....

E-mail.....

Name of the Firm/Company.....

Date.....

(Company Seal/ Rubber Stamp where applicable)

Witness

Name

Sign.....

Date.....

FORM TECH - 9: TENDER-SECURING DECLARATION FORM {r 46 and 155(2)}

[The Bidder shall complete this Form in accordance with the instructions indicated]

Date:.....[insert date (as day, month and year) of Tender Submission]

Tender No.:.....[insert number of tendering process]

To:.....[insert complete name of Purchaser]

I/We, the undersigned, declare that:

1. I / We understand that, according to your conditions, bids must be supported by a Tender-Securing Declaration.
2. I /We accept that I/we will automatically be suspended from being eligible for tendering in any contract with the Purchaser or the period of time of[insert number of months or years] starting on[insert date],if we are in breach of our obligation (s)under the bid conditions, because we-(a) have withdrawn our tender during the period of tender validity specified by us in the Tendering Data Sheet; or (b) having been notified of the acceptance of our Bid by the Purchaser during the period of bid validity,(i) fail or refuse to execute the Contract, if required, or (ii) fail or refuse to furnish the Performance Security, in accordance with the instructions to tenders.
3. I / We understand that this Tender Securing Declaration shall expire if we _____ are not the successful Tenderer (s), upon the earlier of:
 - a) Our receipt of a copy of your notification of the name of the successful Tenderer; or
 - b) Thirty days after the expiration of our Tender.
4. I/We understand that if I am /we are/in a Joint Venture, the Tender Securing Declaration must be in the name of the Joint Venture that submits the bid, and the Joint Venture has not been legally constituted at the time of bidding, the Tender Securing Declaration shall be in the names of all future partners as named in the letter of intent.

Signed:

Capacity / title (director or partner or sole proprietor, etc.).....

Name:

Duly authorized to sign the bid for and on behalf of:[insert complete name of Tenderer] Dated on day of [Insert date of signing]
Seal or stamp

SECTION 4. FINANCIAL PROPOSAL - STANDARD FORMS

{Notes to Consultant shown in brackets {...} provide guidance to the Consultant to prepare the Financial Proposals; they should not appear on the Financial Proposals to be submitted.}

Financial Proposal Standard Forms shall be used for the preparation of the Financial Proposal according to the instructions provided in Section 2.

FIN-1 Financial Proposal
Submission Form

FIN-2

Summary of Costs

FIN-3

Breakdown of

Remuneration

FIN-4

Reimbursable expenses

**FORM FIN-1: FINANCIAL PROPOSAL SUBMISSION FORM
(Amended and issued pursuant to PPRA CIRCULAR No. 02/2022)**

..... {Location, Date}

To: [Name and address of Procuring Entity]

Dear Sirs:

We, the undersigned, offer to provide the consulting services for..... [Insert title of assignment] in accordance with your Request for Proposal dated..... [Insert Date] and our Technical Proposal.

Our attached Financial Proposal is for the amount of..... {Indicate the corresponding to the amount currency} {Insert amounts in words and figures}, including of all taxes in accordance with ITC24.2 in the Data Sheet. The estimated amount of local taxes is..... {Insert currency} {Insert amount in words and figures}.
{Please note that all amounts shall be the same as in Form FIN-2}.

Our Financial Proposal shall be valid and remain binding upon us, subject to the modifications resulting from Contract negotiations, for the period of time specified in the ITC12.1 Datasheet.

We commit to provide to the procuring entity the Beneficial Ownership Information in conformity with the Beneficial Ownership Disclosure Form upon receipt of notification of intention to enter into a contract in the event we are the successful tenderer in this subject procurement proceeding.

Commissions and gratuities paid or to be paid by us to an agent or any third party relating to preparation or submission of this Proposal and Contract execution, paid if we are awarded the Contract, are listed below:

Name and Address, Amount and Purpose of Commission of Agents, Currency or Gratuity

{If no payments are made or promised, add the following statement: "No commissions or gratuities have been or are to be paid by us to agents or any third party relating to this Proposal and Contract execution."}

We understand you are not bound to accept any Proposal you receive. We remain,
Yours sincerely,

Signature..... (of Consultant's authorized representative) {In full and initials}: Full name:
..... {insert full name of authorized representative} Title: {insert title/ position of
authorized representative}

Name of Consultant..... (company's name or JV's name): Capacity: {insert the
person's capacity to sign for the Consultant} Physical Address: {insert the authorized
representative's address}

Phone: {insert the authorized representative's phone and fax number, if applicable} Email:
..... {insert the authorized representative's email address}

{For a joint venture, either all members shall sign or only the lead member/consultant, in which case
the power of attorney to sign on behalf of all members shall be attached}

FORM FIN-2: SUMMARY OF COSTS

| Item | Cost | | | |
|--|---|-----------------------------|--|---|
| | {Consultant must state the proposed Costs in accordance with ITC 16.4 of the Data Sheet; delete columns which are not used} | | | |
| | {Insert Foreign Currency # 1} | {Insert Foreign Currency #} | {Insert Foreign Currency # 3, if used} | {Insert Local Currency, if used and/or} |
| Cost of the Financial Proposal | | | | |
| Including: | | | | |
| (1) Remuneration | | | | |
| (2) Reimbursables | | | | |
| Subtotal [Remuneration + Reimbursables] | | | | |
| Taxes: | | | | |
| {insert type of tax e.g., VAT or sales tax} | | | | |
| {e.g., withholding tax on experts' remuneration} | | | | |
| {insert type of tax} | | | | |
| Total Taxes | | | | |
| Total Cost of the Financial Proposal: {Should match the amount in Form FIN-1} | | | | |

FORM FIN-3: BREAKDOWN OF REMUNERATION

When used for Lump-Sum contract assignment, information to be provided in this Form shall only be used to demonstrate the basis for the calculation of the Contract's ceiling amount; to calculate applicable taxes at contract negotiations; and, if needed, to establish payments to the Consultant for possible additional services requested by the Procuring Entity. This Form shall not be used as a basis for payments under Lump-Sum contracts.

| A. Remuneration ____ | | | | | | | | |
|----------------------|------|-----------------------------------|--------------------------------------|--|-----------------------------------|-----------------------------------|----------------------------------|---|
| No | Name | Position (as in TECH- 6) | Person-month Remuneration Rate | Time Input in Person/Month (from TECH- 6) | {Currency # 1- as in FIN-2} | {Currency # 2- as in FIN-2} | {Currency# 3- as in FIN-2} | {Local Currency- as in FIN- 2} |
| Key Experts | | | | | | | | |
| K-1 | | | [Home] | | | | | |
| | | | [Field] | | | | | |
| K-2 | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| Non-Key | | | | | | | | |
| N-1 | | | [Home] | | | | | |
| N-2 | | | [Field] | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| Total Costs | | | | | | | | |

FORM FIN-4 BREAKDOWN OF REIMBURSABLE

When used for Lump-Sum contract assignment, information to be provided in this Form shall only be used to demonstrate the basis for calculation of the Contract ceiling amount, to calculate applicable taxes at contract negotiations and, if needed, to establish payments to the Consultant for possible additional services requested by the Procuring Entity. This form shall not be used as a basis for payments under Lump-Sum contracts. This form shall be filled for Time-Based Contracts to form the basis of contract negotiations.

| B. Reimbursable Expenses _____ | | | | | | | | |
|--------------------------------|---|----------|-----------|----------|-----------------------------|-----------------------------|----------------------------|-------------------------------|
| N° | Type of Reimbursable Expenses | Unit | Unit Cost | Quantity | {Currency # 1- as in FIN-2} | {Currency # 2- as in FIN-2} | {Currency# 3- as in FIN-2} | {Local Currency- as in FIN-2} |
| | {e.g., Per diem allowances**} | {Day} | | | | | | |
| | {e.g., International flights} | {Ticket} | | | | | | |
| | {e.g., In/out airport transportation} | {Trip} | | | | | | |
| | {e.g., Communication costs between Insert place and Insert place} | | | | | | | |
| | { e.g., reproduction of reports} | | | | | | | |
| | {e.g., Office rent} | | | | | | | |
| | | | | | | | | |
| | {Training of the Procuring Entity’s personnel - if required in TOR} | | | | | | | |
| | Total Costs | | | | | | | |

Legend:

“Per diem allowance” is paid for each night the expert is required by the Contract to be away from his/her usual place of residence. Procuring Entity can set up a ceiling.

SECTION 5. TERMS OF REFERENCE

1. BACKGROUND

Lake Victoria North Water Works Development Agency has prioritized Sewerage project for the Town of Kapsabet to contribute to its socio-economic development.

Through the African Development bank financing under the Kenya Towns Sustainable Water Supply and Sanitation program, feasibility study, detailed documents and tender documents were prepared by a consultant. The design proposed waterborne sanitation system for Kapsabet town. Similarly, the National Irrigation Authority prepared a detailed design for Keben Dam Irrigation and Water Supply Project.

Lake Victoria North now wants to engage a consultancy firm to review the designs for Kapsabet Town Sewerage Projects, update the tender documents, assist the Client in tendering for sewerage system, and also supervise Construction Works for the sewerage system including Defects Notification Period. Further, the Consultant will review the detailed design for Keben Dam and prepare Design Build tender documents for Keben Dam Water Supply system.

2. DETAILS OF THE PROPOSED PROJECT

ASSIGNMENT I: Design Review and Supervision of Construction for Kapsabet Town Sewerage

Kapsabet is in Nandi County, in former Rift Valley Province. . It is the capital of Nandi County, located about 320 km North-West of Nairobi City and its geographical coordinates are 0°12'14"North of Equator and 35°06'26" East of Greenwich Meridian.

Kapsabet town, like other urban centres in Kenya, is experiencing rapid population growth largely due to rural-urban migration and natural rate of increase. According to the projections of the Kapsabet Municipality Concept Plan, the current population of Kapsabet town is 70,094. The rapid increase in population has resulted in the increase in liquid waste generation rate.

The objective of this consultancy is to develop the most cost-effective system to address sewage collection, treatment and disposal within Kapsabet sewerage Project area with design output that is focused on a system that is (1) capable of performing the intended functions throughout the design life; (2) environmentally acceptable, both during construction and in the long term; and (3) economical in terms of both capital and recurrent costs.

Majority of the town is composed of settlements of urban and peri-urban nature. The relationship between the households and water supply network shall determine the waste water service level to be implemented. It was assumed that dwellings served by house connection, yard tap or standpipes located in urban or peri-urban areas should have water-

borne deposition methods with a final destination and treatment of excreta in a Waste Water Treatment Plant (WWTP) - also designated as "Off-site" systems.

Areas not covered by water supply network will be served by decentralized sanitation systems i.e. dry deposition methods, such as simple pit or composting latrines, also called "On-site" systems.

1) Situation Analysis of Existing Water Supply and Wastewater Management

The actual source of water supply for Kapsabet is the River Kabutie. The water collected on the Kabutie River flows, by gravity, to the treatment plant.

After treatment, the water is pumped to the Singoruwa main water reservoir from which is made, by gravity, the supply of the distribution network and the two other existing reservoirs (High School and Kapsabet Nandi Water and Sanitation Company's Office reservoir).

The current wastewater drainage system is organized around a main drainage basin which covers the southern central area of Kapsabet and whose drainage network forwards the sewage, by gravity, to the treatment plant located near the Iruru Forest.

The north central area of Kapsabet is covered by a drainage network that forwards the waste water, by gravity, to the pumping station, in the end of the Kotini-Tilolwo road. At this site, the wastewater is sent, through a pumping system, to the main drainage basin, from which it is sent, by gravity, to the stabilization ponds.

Currently this pumping station is damaged and the sewerage is discharged directly into a nearby water line, without any prior treatment.

2) Topographical Survey Works

Survey work was carried out in Kapsabet Town in connection with the provision of Sewerage system design.

The main objective was to carry out a topographical survey to collect topographical information (horizontal coordinates and height above sea level) of details along the preliminary proposed sewer lines together with cross-section at 50m intervals. A topographical map of the details at a scale of 1:2,500 referenced to the National Survey Grid and Height datum.

First and foremost, secondary traverse/ground control points (GCP) were to be established based on the horizontal alignment of the sewer line. Then topographical details were to be picked, based on the GCPs, along the survey corridor that included both sides of the road reserve.

The main outputs from the topographical survey included establishing permanent and stable ground control points suitable for reference during detailed design work and

construction; detailed topographical survey maps for the sewerage project and land acquisition plan.

Design Approach

The proposed strategy to meet the objectives and targets is based on the knowledge of coverage and type of service provided by water supply network, present and future.

- Phase I includes the interventions to be implemented immediately, based on the development of the town established in the physical plan for the period until 2028;
- Phase II includes the necessary interventions to follow the Kapsabet urban development defined in the physical plan as of 2048.

In both phases the design populations are those that have been estimated for the project horizon year (2048).

This Detailed Design only focussed on the Works related to the Phase I.

3) Proposed solutions for Kapsabet Sewerage System

The proposed interventions are organized by "Off-site" solutions, to implement in urban and peri-urban areas, and "On-site" solutions to be implemented in rural areas.

The "Off-site" collection system proposed for Kapsabet Town will constitute conventional sewer networks which convey the wastewater to the existing Treatment Plant in Southern part of town which needs to be enlarged and a new WWTP to be constructed in the Northern area of the Town.

Project area is divided into four different catchments according to the relief, namely:

- North, which covers Kapngetuny, Irmis and part of the Kipture sub-location;
- Northwest, which covers the north area of Township sub-location and the east part of Kamurguiwa sub-location;
- South, which covers the west part of Township and the east part of Komobo sub-locations;
- Southeast, which covers the majority of current urban areas in Township and Meswo, including areas which are currently connected to the existing WSP.

All these sewer catchments will be connected by conventional gravity sewers with centralized treatment facilities.

The proposed treatment solution considers the enlargement of the existing WWTP and the construction of a new WWTP in the northern part of the Municipality.

The scope of Works is defined in detail in the Specification, drawings and Bill of Quantities.

This invitation to tender relates to the following works:

- i) Sewer Network Expansion in Kapsabet – Phase 1 Works;
- ii) Construction of Stabilization Ponds in Kapngetumy sub-location (WSP North);
- iii) Rehabilitation and enlargement of Stabilization Ponds in Kiminda sub-location (WSP South);

The project areas are located in Kapsabet as highlighted on the Drawings in Volume 3 of the tender documents. Following is a brief description of the contract works

Sewerage network expansion in Kapsabet – Phase 1 works

- i) Supply, install and commission sewer network pipes complete with all associated accessories in conformity with the Drawings: and
- ii) Supply and install standard manholes in conformity with the Drawings

Construction of North stabilization ponds

- i) Construct two new anaerobic ponds as per the Drawings
- ii) Construct one new facultative pond as per the Drawings
- iii) Construct two new maturation ponds as per the Drawings
- iv) Construct tank discharge bay as per the Drawings
- v) Construct inlet work as per the Drawings
- vi) Construct sludge drying beds as per the Drawings
- vii) Construct a wetland as per the Drawings

Construction of South stabilization ponds

- viii) Construct two new anaerobic ponds as per the Drawings
- ix) Construct two new facultative ponds as per the Drawings
- x) Construct four new maturation ponds as per the Drawings

- xi) Construct tank discharge bay as per the Drawings
- xii) Construct inlet work as per the Drawings
- xiii) Construct sludge drying beds as per the Drawings
- xiv) Construct a wetland as per the Drawings

ASSIGNMENT II: Feasibility Study, Preliminary Designs and preparation of PPP Tender Documents for Keben Dam Water Supply Project

The details of the proposed Keben Dam Water Supply Project are as follows:

County: Nandi County

Location and Areas Served: The Project is proposed to serve Kapsabet and Nandi Hill Towns alongside centers eight (8) other centers in Nandi County. The source is proposed to be a 45 m high dam on Mogobich River in Nandi County.

Funding Agency: The project is proposed to be funded under the Funding, Design Build (FDB) model by Contractors who will source for funds from their Export development Banks. It is proposed to be a mixture of Concession Loan and partly credit

Total Estimated cost of the project: The total cost of the project is estimated at Kshs 7 Billion.

Estimated Population to Benefit: The Project will serve about 100,000 persons mainly in Kapsabet, Nandi Hills, Lessos and adjoining rural centers.

Project Scope:

The proposed Project involves Construction of a 45m high dam across Mogobich River in Keben Nandi County, Raw Water Gravity Main approx. 2km in epoxy coated pipe, Treatment Works of 10,000 m³/day complete with accessories, Transmission Mains to Kapsabet and Nandi Hills Towns Complete with related appurtenances, Distribution Network within Kapsabet, Nandi Hills and intervening or satellite towns. The Project has also an irrigation component which will be undertaken by National Irrigation Authority under a different Contract using the balance of the water.

3. MAJOR TASKS OF THE ASSIGNMENT

ASSIGNMENT I: Design Review and Supervision of Construction for Kapsabet Town Sewerage

In order to carry out the construction works the Agency therefore requires the services of a Consultant who will, *inter alia*, be required to perform the following:

- a) Design Review and preparation of tender documents
- b) Update and implement the Resettlement Action Plan

- c) Update the NEMA Report and assist in the acquisition of Construction License
- d) Provide competent and adequate supervision of the works
- e) Timely issue to the Contractor all the necessary correspondence related to information, instructions, clarifications, suggestions to ensure consistency in quality, positive progress and planned costs
- f) Inspection, determine and approve the part of the works before, during and after construction of part, or whole of the works to ensure all time compliance with the specifications and standards
- g) Forecast variations and unforeseen incidence and inform in time the client including intervention measures to prevent adverse effects to the contract
- h) Monitor the value of works executed against payments made to the Contractor and report to the Client monthly consistency against programme of expenditure and works giving reasons and recommendations
- i) Inspect, test and approve materials procured by the Contractor for the works to ensure that they comply with the standards and specifications
- j) Process Contractors payment including final certificate in according with the general conditions of Contract.

ASSIGNMENT II: Feasibility Study, Preliminary Designs and preparation of PPP Tender Documents for Keben Dam Water Supply Project

In order to carry out the construction works of the project under an appropriate PPP arrangement, the Agency requires the services of a Consultant who will, *inter alia* perform the following:

- a) Carry out detailed literature review and data collection on the project.
- b) Execute necessary surveys and technical investigations in the project area to close data and information gaps.
- c) Prepare Preliminary Designs and perform comprehensive feasibility analyses on the project.
- d) Identify the most appropriate PPP model for the project and prepare PPP tender documents.
- e) Prepare, update and implement the Resettlement Action Plan
- f) Prepare ESIA Report and assist in the acquisition of Construction License

4. OBJECTIVES

The main objectives of the assignment are:

ASSIGNMENT I: Design Review and Supervision of Construction for Kapsabet Town Sewerage

- Review and update the Designs and prepare Detailed Tender Documents
- Assist the Client in tendering of the Southern System.
- Carry out supervision of construction works up to and including during Defects Notification Period (DNP) for the Southern System.

ASSIGNMENT II: Feasibility Study, Preliminary Designs and preparation of PPP Tender Documents for Keben Dam Water Supply Project

- Perform a feasibility study on the proposed Keben Dam Water Supply Project targeting Kapsabet and Nandi Hills Towns.
- Prepare PPP Tender Documents

5. SCOPE OF CONSULTING SERVICES

ASSIGNMENT I: Design Review and Supervision of Construction for Kapsabet Town Sewerage

The Consultant will provide the following services:

- i) Review the designs for the proposed Sewerage Schemes, own it and prepare construction drawings
- ii) Prepare detailed tender documents for the proposed works.
- iii) Assist the Client in procuring the Contractor for the Works including reviewing the compliance of all tenders with the bidding documents. During the period of the tender process, the consultant shall assist the Employer in addressing any clarification request which might be submitted by the bidders.
- iv) Carry out detailed ESIA and RAP for the Project in line with prevailing African Development Bank Guidelines and obtain relevant licenses together with compensation of Project affected persons
- v) Construction Works Supervision up to and including during Defects Notification Period (DNP)

The Consultant's services shall be delivered in two stages as elaborated here below:

STAGE I

The principal responsibilities of the consultant shall be to carry out review of the detailed designs conducted by MS/KIRI Consult Ltd and Lake Victoria North Water Works Development Agency in conjunction with Nandi County Government for purposes of updating in order to select the works Contractor. The consultant's scope of work will include, but not limited to the following:

- i. Familiarize with the Design Reports for Kapsabet Sewerage Project and validate them
- ii. Carry out design reviews to meet the overall objective of the project. The consultant will then make recommendations for optimal interventions based on social, economic, environmental and technical considerations as well as with the guidance of KANAWASCO, County Government of Nandi and LVNWWDA
- iii. Carry out detailed design update;

Specifically, the consultant will:

- a. Assess the existing Kapsabet Town Sewerage system and recommend rehabilitation measures
 - b. Consider the recommendations of the Design Reports by KIRI Consult and LVNWWDA and update them for Tendering
 - c. Determine the appropriate location(s) for the facilities that would ensure optimal use while considering land use and settlement patterns within the project area
 - d. Perform all the necessary field surveys and design needed to produce technically acceptable construction plans and specifications suitable for the construction of the proposed facilities. The designs shall be based on Water Design Manual (2005), Ministry of Water and Irrigation as well as Sewerage Design Manual.
 - e. Update ESIA and RAP Studies for purposes of project implementation in line with prevailing African Development Bank Guidelines.
- iv. Prepare a report which contain, but not limited to the following:
 - Background information
 - Technical data, designs and design criteria

- Design drawings (location map, site layout, foundation plans, standard cross sections, finished construction levels, structural details, Fence details, electrical details, landscaping details etc)
 - An assessment of post-construction operation and maintenance models and recommendation for the most appropriate (affordable)
 - Any demolitions to the existing facilitates and the cost for demolition and/or reinstatement should be included
 - Relocation of existing services
 - Update the Environmental and Social Impact Assessment and facilitate acquisition of NEMA License for Construction
- v. Provide Specifications of particular application to the extent necessary to reflect the needs of construction. Particular attention should be given to provisions for the environmental impact mitigation measures. Technical specifications shall be so constituted that the work method, required quality and measurement method shall be clearly defined for each of the pay/ work items in the bills of quantities;
 - vi. Prepare the bills of quantities with work quantity estimates to be used for tendering the works. The reference number of the technical specifications for individual pay/work items concerned shall be clearly stated.
 - vii. Furnish the client with complete bidding documents including instruction to bidders, detailed engineering plans and drawings, technical specifications, model contract, special conditions of contract, and bills of quantities. The documents shall be based on the latest version of AfDB standard bidding documents for works
 - viii. Assess the capacity available in KANAWASCO against the human resource requirement for the effective operation of the proposed facilities and make appropriate training recommendations that would create the necessary capacity
 - ix. Assess the required equipment by KANAWASCO such as Water Bowsers/ Exhausters as appropriate for inclusion into the Bidding Documents

Expected Outputs of the study

1. Updated design Report
2. Operation and maintenance models and a detailed analysis and recommendation
3. Any demolitions and subsequent reinstatement

4. Land/space requirements for the proposed facilities
5. Detailed design - to be presented in a technical report that will include base engineering data, calculations and drawings
6. Complete tender documents
7. Human resource requirement and proposed training/capacity building for KANAWASCO, County Government of Nandi and LVNWWDA

STAGE II

Phase 1: Construction Supervision

i. Construction Design Review and tender evaluation

To formally review and be conversant with all the contract documents, and certify the compliance of the bidding documents and the final contract with the relevant Procurement Guidelines, the Environmental and Social Impact Assessment (ESIA) and the Resettlement Action Plan (RAP) and the Indigenous Peoples Plan (IPP), if any, the design studies and results and reports; and the design calculations, and provide the Client with formal recommendations to any amendments or clarifications considered necessary or desirable. The purpose of this task is to finalize without any ambiguity the permanent works to be executed. The Consultant shall review the construction drawings of the contractual documents which define the works to be carried out by the Contractor.

ii. Reconnaissance and confirmation of Works

The Consultant shall undertake a reconnaissance to identify location of the works on the ground. The consultant will be responsible for ensuring detailed identification and documentation of all features of the works including parcels of land, buildings, and services, geographical, hydrographical and others. In addition, the consultant shall undertake the following activities;

- Identifying and confirming the location of the works as shown on the drawings.
- Temporary setting out and pegging of the locations of the various proposed components.
- Identifying and recommending alternative sites and pipeline routes in sections where prevailing situation may be an impediment to construction.
- Identifying all land parcels that will be affected by structures to be set up for purpose of easement or way leave and document physical features, including buildings (temporary and permanent), trees, roads and other services that fall within construction areas.

- Identifying and documenting in the lay-out drawings, any geographical and hydro-geological features not shown on the available drawings.

iii. Works Supervision

The Consultant will undertake works supervision including:

- *Coordination of works*

Coordination of works will involve the following:

- Organizing and directing execution of the works, by defining compliance with programmes and relations between stakeholders (LVNWWDA, County Government, KANAWASCO, Contractors, Suppliers and third parties). Coordination will be ensured mainly by holding regular meetings on site and general monthly meetings, with managers of the Contractors and Manufacturers, and LVNWWDA.
- Investigating, reporting and informing Client on unexpected circumstances which may arise during the construction period and advising and seeking the approval of Client for any necessary course of action.
- Maintaining a Site Diary and detailed record of the Contractor's manpower, materials, plant and equipment on Site, together with its deployment, availability and utilization, while also recording the weather and any other pertinent facts which relate to the construction of the works and the progress thereof.

- *Supervision of field surveys*

The Consultant will supervise the Contractor(s) who should carry out field surveys such as topographic, engineering and geological surveys. The Consultant will prepare technical reports on all measurements made by the Contractor(s).

- *Quality Control and Quality Assurance Plan.*

- Developing a quality control and quality assurance plan necessary to ensure that the works are built and equipment installed in conformity with the Contractual Specifications, approved drawings, standards, agreed programme, good engineering practice, State-of-the-Art, the EMP, the RAP (if any) and the IPP (if any).
- Review and approve drawings, materials and proposed construction methods submitted by the contractor to ensure compliance with the contract requirements. When the Contractor proposes a construction solution, the Consultant will examine and verify the related drawings and calculation notes, giving particular attention to the compliance with specifications and design criteria.

- Inspecting and testing of soils and materials supplied for incorporation in the works, and arranging and witnessing acceptance test. Continuously supervise, monitor, inspect, test, control and report on the Contractor's methods of working and the quality of the works, materials, soils and working practices for total compliance with the specifications, the contract documents and good international working practice.
 - Approving or rejecting materials delivered on site and works performed by the contractor.
 - Advising the Client on the need for special inspections or testing.
- *Project Cost Control*
 - Developing a plan for project cost control on the basis of the field and quantity surveys required for determination of actual quantities of work accomplished by the Contractor.
 - Reviewing each payment application and associated valuation of the Works prepared by the Contractor and, after making any necessary corrections, draw up and sign as approved a Payment Certificate for settlement by Client. All such Payment Certificates to include provisions for the recovery of the Advance Payment, and any other provisions such as interest, claims or liquidated damages.
 - Preparing a payment schedule for the works up to completion, and up-date the same monthly and follow up cash flow.
 - Making minor alterations to design as may be necessary or expedient, but the prior approval of the Client (subject to the no objection of the funding agency) shall be obtained for any substantial modification of the design and costs of the said works and for any instruction to the contractor, which constitutes a major variation, omission or addition to the works.
 - Evaluation of claims including making recommendation to the Client and other matters concerning the contract and assistance in the settlement of disputes.
 - *Plan for Project Progress Control*
 - Using the same basic data as those established for project cost control, a progress chart will be maintained and updated in the Consultant's office. The work progress will be followed by the Consultant review especially during the weekly works meetings on sites. A monthly report of weekly meetings will be established by the Consultant.

- The consultant will organize and coordinate monthly site progress meeting with the contractor, in the presence of a representative of the Client, and draw up and issue minutes of meeting.
- The consultant will also undertake continuous co-ordination and monitoring on the progress of the construction works and other development activities in the vicinity of the works which may affect the progress, safety and security standards.
- *Environmental Monitoring*
 - The Consultant will also undertake quarterly environmental monitoring for the project to ensure compliance with the EMP and prepare reports to be submitted to the Client and the relevant Kenyan Authorities

iv. Works commissioning

The Consultant will implement works commissioning including:

- Supervising the acceptance tests and preparing the Certificate of Completion.
- Preparing the completion report for the works which will be based on the record maintained during construction design and work supervision phases. It will include the environmental completion report which will be submitted to NEMA for compliance with initial recommendations for environmental mitigation measures. In case a RAP or IPP was prepared, the completion report will also report about the compliance of the works with the RAP and the IPP.
- Prepare 'as-built drawings': The Consultant will ensure the preparation of 'as-built drawings' by the Contractor during construction of works. On completion of the Project, the Consultant will check, approve and submit to the Client for retention, five (5) complete sets of all detailed drawings and two (2) electronic CD-ROM copy and computations in accordance with revisions made during the construction.
- Prepare Operation and Maintenance manuals: Based on the information and booklets received from the Contractors, Manufacturers, Suppliers and his own experience, the Consultant will ensure preparation and submission of the Operation and Maintenance Manuals by the Contractor. He will ensure the manuals are complete with the O&M recommendations identified during construction. Operation and maintenance manuals will be submitted in four (4) printed copies and two (2) electronic CD-ROM.

Phase 2: Performance Control during the Defects Notification Period

The Consultant will carry out quarterly inspections during the one year (365days) defects liability period and instruct accordingly the Contractor with regard to outstanding works and defects. The consultant will ensure preparation of the final account by the contractor before the

end of the defects liability period and certify the final payment due to the contractor in accordance with the contract. After this period and satisfactory inspections, the Defects Notification Certificate will be issued to the contractor.

The Consultant has to assess the final staffing requirements according to his proposed work program and time schedule.

The Consultant has to provide its own staff for the total of all activities, also bearing in mind the costs involved.

ASSIGNMENT II: Feasibility Study, Preliminary Designs and preparation of PPP Tender Documents for Keben Dam Water Supply Project

The Consultant will undertake the following tasks for Keben Dam Water Supply Project.

- Review and update the detailed design for Keben Dam that was prepared by the National Irrigation Authority.
- Undertake hydrological assessment for the catchment and update the hydrology report and assist the client in acquisition of Water Resources Authority Permits.
- Update the population and Water Demand projections for the project area
- Undertake socio-economic assessment for the project beneficiaries
- Prepare preliminary siting and sizing of the dam, Raw Water Main, Treatment Plant, all transmission and distribution pipelines and storage tanks for the entire Water Supply system
- Prepare the Resettlement Action Plan Report
- Prepare the Environmental Impact Assessment Report and secure NEMA Licenses
- Prepare appropriate PPP Tender documents for construction and operation of the project.

6. ORGANISATION OF THE ASSIGNMENT

LVNWWDA shall set up a Project Implementation Unit for the execution of the assignment. All contractual matters shall be addressed to the Chief Executive Officer while all technical and operational matters shall be handled by the office of the Chief Manager Technical Services through the Project Implementation Unit.

The Consultant is expected to liaise with other relevant stakeholders such as KANAWASCO and County Government of Nandi at all times while carrying out the assignments, with guidance of LVNWWDA.

The Consultant has to provide his own office space, furniture and equipment as well as all necessary transport facilities to carry out the assignment

The Consultant will assign agreed staff to project site office for supervision of construction works during implementation of the project. The Consultant will exercise the authority delegated by the Client

7. TIMEFRAME AND REPORTING

The total timeframe for design review for the sewerage system and update of Keben Dam Designs is estimated at 4 -calendar -months. Assisting the Client in Tendering is estimated at 6 months. Supervision of Construction Works for Sewerage system is 18 calendar months, defects liability period of 12 months and 1 month for completion of services. Thus, total consultancy is estimated at 40 Calendar-Months.

The Consultant is required to submit the following reports on the sequence of his services (2CD soft copies 3nr hard copies to the Client):

ASSIGNMENT I

| STAGE I | |
|--|--|
| (1) Inception report | 2 weeks after commencement of services |
| (2) Design review Report for sewerage system | 2 months after commencement of services |
| (3) Detailed Tender documents | 3 months after commencement of services |
| (4) Bid Evaluation Report/ Recommendation for Award | 5 Months after Commencement of Services |
| STAGE II | |
| (1) Inception Report, | Inception Report - 4 weeks after commencement of services |
| (2) Construction Design and Bidding Documents Review Report, | Construction Design and Bidding Documents Review Report - 6 weeks after commencement of services |

| | |
|--|---|
| (3) Monthly Progress Reports | Monthly Reports - by 15 th of the succeeding month |
| (4) Quarterly Progress Reports, , | Quarterly Reports - by 15 th of the succeeding month after end of quarter |
| (5) Project Completion Report | Project Completion Report - within 4 weeks after substantial completion of the project |
| (6) Initial Environmental Audit Report and | Initial Environmental Audit Report - within 4 weeks after the project is operationalized |
| (7) Quarterly Environmental Monitoring Reports | Quarterly Reports - by 15 th of the succeeding month after end of quarter |
| (8) End of Defects Liability Period Report to be submitted during implementation | End of Defects Liability Period Report - within 4 weeks after end of defects liability period |

ASSIGNMENT II

| | |
|---|---|
| (1) Inception Report | 2 weeks after Commencement of Services |
| (2) Updated Hydrology & Socio-Economic Survey Report | 1 months after Commencement of Services |
| (3) Draft Keben Dam Water Supply Project Feasibility Study and ESIA/RAP Reports | 3 months after Commencement of Services |
| (4) Final Keben Dam Water Supply Project Feasibility Study and ESIA/RAP Reports | 4 months after Commencement of Services |
| (5) PPP Tender Documents for Keben Dam Water Supply Project | 4 months after Commencement of Services |

These reports shall be submitted in English language as both hard and softcopies preferably in a suitable Word Format as a draft version (4 copies to the Client) and a final version (6 copies to the Client), which will be finalized on the basis of the comments to be received from the Client.

a) The Inception Report

The report shall include: full details of the Consultant's mobilization status, quoting a list of all key staff, the dates different members of the staff arrived on Site and the dates the offices and other facilities were established, together with the phone, fax, email and street address. Details shall also be recorded of the date of payment of the advance payment - and thereby the Date of Effectiveness of the Contract; the situation on-Site/in-Board as compared to that envisaged in the Consultant's proposal and any changes proposed to the Terms of Reference as a result of the Consultant's findings; an updated work plan (including actual dates for submittal of deliverables) and staffing schedule showing actual dates (because by that time the date of contract effectiveness will be known); and base data for both the consulting services and the works contracts.

b) Monthly Progress reports

The Monthly Progress Reports shall contain all the data necessary to serve as a formal record document of the monthly status of the works and consultant's contract, including but not limited to: the contract base data; the detailed status of all aspects of the works; progress against/compared to agreed program; environment management; color progress photographs with detailed captions; typical test results against the specification criteria, plus all test results which do not meet specification and the action taken by the consultants; details of the Site record keeping system established and available for audit; equipment mobilization/demobilization data against the agreed equipment list; equipment availability records; key professional staffing bar chart for both the Consultant and Contractor, by position, name and duration/days of deployment; interim valuation of the works; payment status of both the Contractor and the Consultant; and full details of all claims, delays, requests for Extensions of Time and any other information the Consultants may considered necessary to include in the report.

c) Quarterly Progress Report

The Quarterly Report shall be a combination of the monthly reports, including a risk management section. Quarterly M&E report will be submitted as a stand-alone report in the format provided by the Project Manager

d) Project Completion Report (PCR)

The PCR shall be presented in draft form within two weeks of the issuance of the taking over certificate for Project Manager's/Client's review and comments. The PCR shall be finalized

when the final certificate has been certified by the Project Manager/Consultant and all other matters have been concluded, and Client's comments have been received for accommodation.

The PCR will form a comprehensive record of the Construction Work including:

- Details of the handing over of all the ER's Facilities and Resources to the Client and
- Details of the handing over to the Client of all Site Records, As-Built Drawings and other deliverables - which shall include but not be limited to a record of all test results of the key Soils and Material used in the construction; site photos; with a detailed text on how each of the records have been catalogued and referenced, and to whom they were handed over, to facilitate future use of the record data.

e) Monthly and Quarterly Environmental Monitoring Reports

The monthly Environmental Report shall summarize the compliance status of the project with the Environmental Management Plan in line with the NEMA license and best practice. The monthly Environmental Report shall be a combination of the monthly reports, including a risk management section. The reports will be submitted as stand-alone reports in the format provided by the Project Manager.

8. CONSULTANT'S INPUTS AND RESOURCE REQUIREMENTS

The Consultant shall provide a team of well-qualified and experienced professionals as required and appropriate for completion of the Services. The key professionals shall personally carry out (with any assistance of junior staff deemed appropriate) the Services as described in these TOR and RFP.

8.1 Qualifications

(i) Team Leader

Must have Masters Degree in Civil or Water related discipline, registered professional engineer with an accredited professional engineering body recognized in Kenya with a current practising license. The team leader must also have 15 years of experience in the design and construction of sewerage projects. The proposed expert must have been a team leader on at least **3 (three)** similar assignments.

(ii) Dam Engineer

Should be a registered engineer (with EBK or similar body recognized in Kenya) and with at least a university degree in Civil/Dam Engineering. The expert should have at least 10 years of experience in dam planning, assessment, designs and construction supervision.

(iii) Sanitation Engineer

Should be a registered engineer (with EBK or similar body recognized in Kenya) and with at least a university degree in Civil/Water/Hydraulic Engineering. The expert must have at least 10 years of experience in sewerage systems planning, assessment, designs and construction supervision.

(iv) Water Engineer

Should be a registered engineer (with EBK or similar body recognized in Kenya) and with at least a university degree in Civil/Water/Hydraulic Engineering. The expert must have at least 10 years of experience in water systems planning, assessment, designs and construction supervision.

(v) Structural Engineer

Should be a registered engineer (with EBK or similar bodies recognized in Kenya) and with at least a university degree in Civil/Structural Engineering and with at least 10 years of experience in structural design and supervision of hydraulic structures.

(vi) Survey Engineer

Should be a university graduate with at least a degree in Surveying/Photogrammetry and at least 10 years relevant experience in engineering survey for water supply works. Must also be registered by a Professional Body recognized in Kenya as a surveyor with a current practising license.

(vii) Resident Engineer

Should have at least a bachelors degree in Civil Engineering and registered with EBK or similar bodies recognized in Kenya with at least 15 years of relevant experience in contracts administration, supervision and management of projects. The expert should demonstrate that he has successfully implemented as a Resident Engineer at least **two** assignments of similar or larger magnitude that completed satisfactorily.

(viii) Assistant Resident Engineer

Should be at least a graduate Civil/Water Engineer, with at least 10 years relevant experience in contracts administration, supervision and management of projects and must have been an Assistant Resident Engineer (ARE) on at least **2 (two)** similar Projects

(ix) Costs Engineer/Quantity Surveyor

Should be a registered engineer (with EBK or similar body recognized in Kenya) or registered quantity surveyor (with BORAQS or similar body recognized in Kenya). The expert should have at least a university bachelors degree in Civil/Water Engineering or Building Economics with at least 10 years of experience in cost build up and estimation for civil works projects.

(x) Socio-Economist

Should be a university graduate with at least Bachelors Degree in Economics or Sociology or Development Studies and at least 5 years relevant experience working on water supply and sewerage projects.

(xi) Environmental Expert

Should be a university graduate with at least Bachelors Degree in Environmental Science or related field, registered Environmental Expert with an Environmental authority recognized in Kenya and must have at least 5 years relevant experience in water supply and sewerage projects.

(xii) Electromechanical Engineer

Should be a university graduate with at least Bachelors Degree in electrical or mechanical engineering, registered with an accredited engineering body recognized in Kenya and must have at least 7 years relevant experience in design and supervision of water supply and sewerage projects.

(xiii) Hydrologist

Should be a university graduate with at least Bachelor's Degree in Hydrology or related field and registered with an accredited body recognized in Kenya. The expert must have at least 7 years of relevant experience in hydrological assessments for dam and water supply projects.

(xiv) PPP Expert

Should be a university graduate with a Bachelor's Degree in engineering, commerce, finance, economics, actuarial science or related fields and an advanced degree in finance or project or construction management or related field with at least 10 years of experience in successfully arranging PPP projects.

(xv) CAD Technician

Should possess at least a diploma in CAD Draughtsmanship/Civil/Building Technology/Cartography with at least 10 years of relevant experience in production of engineering drawings.

The Consultant’s key professional staffing schedule for the project shall be in accordance with his accepted proposal which forms the basis of the Contract

Proposed key professional staff must be well qualified from a recognized institution, having experience in the relevant field as specified. Alternative professional staff shall not be proposed, and only one CV may be submitted for each position. Each CV shall be signed and dated by the candidate, who should also state that he confirms the validity of the data presented; and the candidate shall also confirm his/her availability to undertake the assignment if selected. The experts must be fluent in both written and spoken English.

The Consultant, with the aid and facilitation of the Client/Employer, shall be responsible for the provision of all the necessary resources to carry out the Services; and shall make his own arrangements for the establishment of his office, supporting office equipment and furniture, vehicles, accommodation, utilities, communications, plus any other resources or anything else needed.

The Consultant should factor in his cost a possible 1 No. request by the Client to present the reviewed design and costing, to key stakeholders at KANAWASCO. The Consultant cost would be to the extent of producing the relevant documents and availing his personnel to make the presentation successful. In addition, the Consultant shall include in his financial proposal a provisional sum of **KES 3 million** for the purposes of meeting the convening costs for public participation as and when required by the law or the consultants methodology and approach.

8.2 Inputs

Expected inputs of key experts are given below. Experts are expected to be on site full-time during the periods assigned for the project.

ASSIGNMENT I

| <i>Key experts</i> | | <i>Estimated Inputs (staffmonths)</i> | | |
|--------------------|---------------------|---------------------------------------|-----------------|--------------|
| | | <i>Stage I</i> | <i>Stage II</i> | <i>Total</i> |
| 1. | Team Leader | 1 | 11 | 12 |
| 2. | Sanitation Engineer | 1 | 1 | 2 |
| 3. | Structural Engineer | 1 | 0 | 1 |
| 4. | Survey Engineer | 1 | 22 | 23 |

| | | | | |
|--------------|----------------------------------|-----------|------------|------------|
| 5. | Resident Engineer | 0 | 27 | 27 |
| 6. | Assistant Resident Engineer | 0 | 22 | 22 |
| 7. | Costs Engineer/Quantity Surveyor | 1 | 1 | 2 |
| 8. | Socio - Economist | 1 | 5 | 6 |
| 9. | Environmental Expert | 2 | 11 | 13 |
| 10. | Electromechanical Engineer | 1 | 5 | 6 |
| 11. | CAD Technician | 3 | 7 | 10 |
| Total | | 12 | 112 | 124 |

ASSIGNMENT II

| | | <i>Total staff months</i> |
|--------------------|----------------------------------|---------------------------|
| <i>Key experts</i> | | |
| 1 | Team Leader | 2 |
| 2 | Dam Engineer | 2 |
| 3 | Water Engineer | 3 |
| 4 | Engineering Surveyor | 1 |
| 5 | Costs Engineer/Quantity Surveyor | 1 |
| 6 | Socio - Economist | 1 |
| 7 | Environmental Expert | 2 |
| 8 | Electromechanical Engineer | 1 |
| 9 | Hydrologist | 1 |
| 10 | PPP Expert | 1 |
| 11 | CAD Technician | 1 |
| Total | | 16 |

9.EMPLOYER INPUTS

The Client will provide to and collaborate with the Consultant in making available all data, maps and reports relevant to the project subject to the extent of availability of such information.

The Client will also facilitate the Consultant's access to other ministries, regional authorities and relevant organizations in the project area.

10. DURATION OF SERVICES

The time period required for the provision of the services is envisaged as approximately 40 months: 4 months for study (Design Review and Tendering) and 36 months for procurement, supervision during implementation (6 months procurement, 18 months for supervising construction, 12 months defects notification period).

Assignment I:

Stage I and II of the Contract shall be deemed to be separate and the Client will give a commencement date for each stage.

Assignment II:

Feasibility Study for Keben Dam Water Project and preparation of PPP Tender Documents will run concurrently with Stage 1 of Assignment I i.e design review for Kapsabet Town Sewerage Project.

11. OBLIGATIONS OF THE CLIENT

The Client/Employer will avail all documents relating to this assignment in its possession and introduce the consultant to the community and other Government agencies that could provide information and support for this assignment. The Client/Employer will further mobilize stakeholders for public meetings and facilitate costs for venue and other reimbursables to the stakeholders. The Client/Employer shall also grant, and not unreasonably withhold, any approval of any application that meets the prescribed requirements.

SECTION 6. CONDITIONS OF CONTRACT AND CONTRACT FORMS

CONTRACT FOR CONSULTANT'S SERVICES

Lump-Sum Contract

Consulting Services for:

Contract No.: _____

Contract Description: _____

Between

_____ *[Name of the Procuring Entity]*

and

_____ *[Name of the Consultant]*

Date:

I. FORM OF CONTRACT - LUMP-SUM

(Text in brackets [] is optional; all notes should be deleted in the final text)

This CONTRACT (herein after called the "Contract") is made the [number] day of the month of [month], [year], between, on the one hand, [name of Procuring Entity] (herein after called the "Procuring Entity") and, on the other hand, [name of Consultant] (herein after called the "Consultant").

[If the Consultant consists of more than one entity, the above should be partially amended to read as follows: "... (hereinafter called the "Procuring Entity") and, on the other hand, a Joint Venture (name of the JV) consisting of the following entities, each member of which will be jointly and severally liable to the Procuring Entity for all the Consultant's obligations under this Contract, namely, [name of member] and [name of member] (herein after called the "Consultant").]

WHEREAS

- a) The Procuring Entity has requested the Consultant to provide certain consulting services as defined in this Contract (herein after called the "Services");
 - b) the Consultant, having represented to the Procuring Entity that it has the required professional skills, expertise and technical resources, has agreed to provide the Services on the terms and conditions set forth in this Contract;
 - c) the Procuring Entity has set aside a budget and funds toward the cost of the Services and intends to apply a portion of these funds towards payments under this Contract;
- NOW THEREFORE the parties hereto hereby agree as follows:

1. The following documents attached here to shall be deemed to form an integral part of this Contract:
 - a) The General Conditions of Contract;
 - b) The Special Conditions of Contract;
 - c) Appendices:
 - Appendix A: Terms of Reference
 - Appendix B: Key Experts
 - Appendix C: Breakdown of Contract Price
 - Appendix D: Form of Advance Payments Guarantee

In the event of any inconsistency between the documents, the following order of precedence shall prevail: The Special Conditions of Contract; the General Conditions of Contract; Appendix A; Appendix B; Appendix C; Appendix D. Any reference to this Contract shall include, where the context permits, a reference to its Appendices.

2. The mutual rights and obligations of the Procuring Entity and the Consultant shall be asset for thin the Contract, in particular:
 - a) The Consultant shall carryout the Services in accordance with the provisions of the Contract; and
 - b) the Procuring Entity shall make payments to the Consultant in accordance with the provisions of the Contract.

IN WITNESS WHERE OF, the Parties here to have caused this Contract to be signed in their respective names as of the day and year first above written.

For and on behalf of..... [Name of Procuring Entity] [Authorized Representative of the Procuring Entity-name, title and signature]

For and on behalf of..... [Name of Consultant or Name of a Joint Venture] [Authorized Representative of the Consultant-name and signature]

[Note: For a joint venture, either all members shall sign or only the lead member, in which case the power of attorney to sign on behalf of all members shall be attached.]

For and on behalf of each of the members of the Consultant..... [Insert the Name of the Joint Venture] [Name of the lead member]

[Authorized Representative on behalf of a Joint Venture] [Add signature blocks for each member if all are signing]

SECTION 7: GENERAL CONDITIONS OF CONTRACT

A. General Provisions

1. Definitions

- 1.1 Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:
- a) "Applicable Law" means the laws and any other instruments having the force of law in Kenya.
 - b) "Consultant" means a legally-established professional consulting firm or entity selected by the Procuring Entity to provide the Services under the signed Contract.
 - c) "Contract" means the legally binding written agreement signed between the Procuring Entity and the Consultant and which includes all the attached documents listed in its paragraph 1 of the Form of Contract (the General Conditions (GCC), the Special Conditions (SCC), and the Appendices).
 - d) "Procuring Entity" means the Procuring Entity that signs the Contract for the Services with the selected Consultant.
 - e) "Day" means a working day unless indicated otherwise.
 - f) "Effective Date" means the date on which this Contract comes into force and effect pursuant to Clause GCC 11.
 - g) "Experts" means, collectively, Key Experts, Non-Key Experts, or any other personnel of the Consultant, Sub-consultant or JV member(s) assigned by the Consultant to perform the Services or any part thereof under the Contract.
 - h) "Foreign Currency" means any currency other than the currency of Kenya.
 - i) "GCC" mean these General Conditions of Contract.
 - j) "Government" means the government of Kenya.
 - k) "Joint Venture (JV)" means an association with or without a legal personality distinct from that of its members, of more than one entity where one member has the authority to conduct all businesses for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to the Procuring Entity for the performance of the Contract.
 - l) "Key Expert(s)" means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose Curricula Vitae (CV) was taken into account in the technical evaluation of the Consultant's proposal.
 - m) "Local Currency" means the Kenya Shillings, the currency of Kenya.
 - n) "Non-Key Expert(s)" means an individual professional provided by the Consultant or its Sub-consultant to perform the Services or any part here of under the Contract.

- o) "Party" means the Procuring Entity or the Consultant, as the case may be, and "Parties" means both of them.
- p) "SCC" means the Special Conditions of Contract by which the GCC may be amended or supplemented but not over-written.
- q) "Services" means the work to be performed by the Consultant pursuant to this Contract, as described in Appendix A hereto.
- r) "Sub-consultants" means an entity to whom/which the Consultant subcontracts any part of the Services while remaining solely liable for the execution
- (t) "Third Party "means any person or entity other than the Government, the Procuring Entity, the Consultant or a Sub-consultant.

2. Relationship between the Parties

- 1.1 Nothing contained herein shall be construed as establishing a relationship of master and servant or of principal and agent as between the Procuring Entity and the Consultant. The Consultant, subject to this Contract, has complete charge of the Experts and Sub-consultants, if any, performing the Services and shall be fully responsible for the Services performed by them or on their behalf hereunder.

3. Law Governing Contract

- 1.2 This Contract, its meaning and interpretation, and the relation between the Parties shall be governed by the Laws of Kenya.

4. Language

- 4.1 This Contract has been executed in the English language, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.

5. Headings

- 5.1 The headings shall not limit, alter or affect the meaning of this Contract.

6. Communications

- 6.1 Any communication required or permitted to be given or made pursuant to this Contract shall be in writing in the English Language. Any such notice, request or consent shall be deemed to have been given or made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent to such Party at the address specified in the SCC.
- 6.2 A Party may change its address for notice here under by giving the other Party any communication of such change to the address specified in the SCC.

7. Location

- 7.1 The Services shall be performed at such locations as are specified in Appendix A hereto and, where the location of a particular task is not so specified, at such locations, whether in Kenya or elsewhere, as the Procuring Entity may approve.

8 Authority of Member in Charge

8.1 In case the Consultant is a Joint Venture, the members hereby authorize them ember specified in the SCC to act on their behalf in exercising all the Consultant's rights and obligations towards the Procuring Entity under this Contract, including without limitation the receiving of instructions and payments from the Procuring Entity.

9 Authorized Representatives

9.1 Any action required or permitted to be taken, and any document required or permitted to be executed under this Contract by the Procuring Entity or the Consultant may be taken or executed by the officials specified in the SCC.

10 Corrupt and Fraudulent Practices

10.1 The government requires compliance with its policy regarding corrupt and fraudulent/prohibited practices as set forth in its laws and policies.

10.2 **Commissions and Fees**-The Procuring Entity requires the Consultant to disclose any commissions, gratuities or fees that may have been paid or are to be paid to a gents or any other party with respect to the selection process or execution of the Contract. The information disclosed must include at least the name and address of the agent or other party, the amount and currency, and the purpose of the commission, gratuity or fee. Failure to disclose such commissions, gratuities or fees may result in termination of the Contract by the Procuring Entity and/or sanctions by

B. Commencement, Completion, Modification and Termination of Contract

11 Effectiveness of Contract

11.1 This Contract shall come into force and effect on the date (the "Effective Date") of the Procuring Entity's notice to the Consultant instructing the Consultant to begin carrying out the Services. This notice shall confirm that the effectiveness conditions, if any, listed in the SCC have been met.

12 Termination of Contract for Failure to Become Effective

12.1 If this Contract has not become effective within such time period after the date of Contract signature as specified in the SCC, either Party may, by not less than twenty-two (22) days written notice to the other Party, declare this Contract to be null and void, and in the event of such a declaration by either Party, neither Party shall have any claim against the other Party with respect there to.

13 Commencement of Services

13.1 The Consultant shall confirm availability of Key Experts and begins carrying out the Services not later than the number of days after the Effective Date specified in the SCC.

14 Expiration of Contract

14.1 Unless terminated earlier pursuant to Clause GCC19hereof, this Contract shall expire at the end of such time period after the Effective Date as specified in the SCC.

15 Entire Agreement

15.1 This Contract contains all covenants, stipulations and provisions agreed by the Parties. No agent or representative of either Party has authority to make, and the Parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein.

16 Modifications or Variations

16.1 Any modification or variation of the terms and conditions of this Contract, including any modification or variation of the scope of the Services, may only be made by written agreement between the Parties. However, each Party shall give due consideration to any proposals for modification or variation made by the other Party.

17 Force Majeure

a. Definition

17.1 For the purposes of this Contract, "Force Majeure" means event which is beyond the reasonable control of a Party, is not foreseeable, is unavoidable, and makes a Party's performance of its obligations hereunder impossible or so impractical as reasonably to be considered impossible under the circumstances, and subject to those requirements, includes, but is not limited to, war, riots, civil disorder, earthquake, fire, explosion, storm, flood or other adverse weather conditions, strikes, lockouts or other industrial action confiscation or any other action by Government agencies.

17.2 Force Majeure shall not include (i) any event which is caused by the negligence or intentional action of a Party or such Party's Experts, Sub-consultants or agents or employees, nor (ii) any event which a diligent Party could reasonably have been expected to both take into account at the time of the conclusion of this Contract and avoid or overcome in the carrying out of its obligations here under.

17.3 Force Majeure shall not include insufficiency of funds or failure to make any payment required here under.

b. No Breach of Contract

17.4 The failure of a Party to fulfill any of its obligations here under shall not be considered to be a breach of, or default under, this Contract in so far as such inability arises from an event of Force Majeure, provided that the Party affected by such an event has taken all reasonable precautions, due care and reasonable alternative measures, all with the objective of carrying out the terms and conditions of this

c. Measures to be taken

17.5 A party affected by an event of Force Majeure shall continue to perform its obligations under the Contract as far as is reasonably practical and shall take all reasonable measures to minimize the consequences of any event of Force Majeure.

17.6 A Party affected by an event of Force Majeure shall notify the other Party of such event as soon as possible, and in any case not later than fourteen (14) calendar days following the occurrence of such event, providing evidence of the nature and cause of such event, and shall similarly give written notice of the restoration of normal conditions as soon as possible.

a) Any period within which a Party shall, pursuant to this Contract, complete any action

or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.

- b) During the period of their inability to perform the Services as a result of an event of Force Majeure, the Consultant, upon instructions by the Procuring Entity, shall either:
- c) demobilize, in which case the Consultant shall be reimbursed for additional costs they reasonably and necessarily incurred, and, if required by the Procuring Entity, in reactivating the Services; or
- d) Continue with the Services to the extent reasonably possible, in which case the Consultant shall continue to be paid under the terms of this Contract and be reimbursed or additional costs reasonably and necessarily incurred.

17.7 In the case of disagreement between the Parties as to the existence or extent of Force Majeure, the matter shall be settled according to Clauses GCC 44 & 45.

18 Suspension

18.1 The Procuring Entity may, by written notice of suspension to the Consultant, suspend all payments to the Consultant here under if the Consultant fails to perform any of its obligations under this Contract, including the carrying out of the Services, provided that such notice of suspension (i) shall specify the nature of the failure, and (ii) Shall request the Consultant to remedy such failure within a period not exceeding thirty (30) calendar days after receipt by the Consultant of such notice of suspension.

19 Termination

19.1 This Contract may be terminated by either Party as per provisions set up below:

a. By the Procuring Entity

19.1.1 The Procuring Entity may terminate this Contract in case of the occurrence of any of the events specified in paragraphs (a) through (f) of this Clause. In such an occurrence, the Procuring Entity shall give at least thirty (30) calendar days' written notice of termination to the Consultant in case of the events referred to in (a) through (d); at least sixty (60) calendar days' written notice in case of the event referred to in (e); and at least five (5) calendar days' written notice in case of the event referred to in (f):

- a If the Consultant fails to remedy a failure in the performance of its obligations here under, as specified in a notice of suspension pursuant to Clause GCC 18;
- b If the Consultant becomes (or, if the Consultant consists of more than one entity, if any of its members becomes) insolvent or bankrupt or enter into any agreements with their creditors for relief of debt or take advantage of any law for the benefit of debtors or go in to liquidation or receivership whether compulsory or voluntary;
- c If the Consultant fails to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause GCC 45.1;
- d If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days;
- e If the Procuring Entity, in its sole discretion and for any reason whatsoever, decides to terminate this Contract;
- f If the Consultant fails to confirm availability of Key Experts as required in Clause GCC13.

19.1.2 Furthermore, if the Procuring Entity determines that the Consultant has engaged in corrupt, fraudulent, collusive, coercive [or obstructive] practices, in competing for or in executing the Contract, then the Procuring Entity may, after giving fourteen (14) calendar days written notice to the Consultant, terminate the Consultant's employment under the Contract.

b. By the Consultant

- a) The Consultant may terminate this Contract, by not less than thirty (30) calendar days' written notice to the Procuring Entity, in case of the occurrence of any of the events specified in paragraphs(a)through(d)of this Clause.
- b) If the Procuring Entity fails to pay any money due to the Consultant pursuant to this Contract and not subject to dispute pursuant to Clause GCC45.1withinforty-five (45) calendar days after receiving written notice from the Consultant that such payment is overdue.
- c) If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days.
- d) If the Procuring Entity fails to comply with any final decision reached as a result of arbitration pursuant to Clause GCC45.1.
- e) If the Procuring Entity is in material breach of its obligations pursuant to this Contract and has not remedied the same within forty-five (45) days (or such longer period as the Consultant may have subsequently approved in writing) following the receipt by the Procuring Entity of the Consultant's notice specifying such breach.

c. Cessation of Rights and Obligations

19.1.4 Upon termination of this Contract pursuant to Clauses GCC 12 or GCC 19 hereof, or upon expiration of this Contract pursuant to Clause GCC14, all rights and obligations of the Parties here under shall cease, except (i) such rights and obligations as may have accrued on the date of termination or expiration, (ii) the obligation of confidentiality set forth in Clause GCC22, (iii) the Consultant's obligation to permit in section, copying and auditing of their accounts and records set forth in Clause GCC25, and (iv) any right which a Party may have under the Applicable Law.

d. Cessation of Services

19.1.5. Upon termination of this Contract by notice of either Party to the other pursuant to Clauses GCC 19a or GCC 19b, the Consultant shall immediately upon dispatch or receipt of such notice, take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Consultant and equipment and materials furnished by the Procuring Entity, the Consultant shall proceed as provided, respectively, by Clauses GCC27or GCC28.

e. **Payment up on Termination**

19.1.6 Up on termination of this Contract, the Procuring Entity shall make the following payments to the Consultant:

- a) Payment or Services satisfactorily performed prior to the effective date of termination;
and
- b) In the case of termination pursuant to paragraphs (d) and (e) of Clause GCC 19.1.1,

reimbursement of any reasonable cost incidental to the prompt and orderly termination of this Contract, including the cost of the return travel of the Experts.

C. Obligations of the Consultant

16. General

a. Standard of Performance

20.1 The Consultant shall perform the Services and carry out the Services with all due diligence, efficiency and economy, in accordance with generally accepted professional standards and practices, and shall observe sound management practices, and employ appropriate technology and safe and effective equipment, machinery, materials and methods. The Consultant shall always act, in respect of any matter relating to this Contract or to the Services, as a faithful adviser to the Procuring Entity, and shall at all times support and safeguard the Procuring Entity's legitimate interests in any dealings with the third parties.

20.2 The Consultant shall employ and provide such qualified and experienced Experts and Sub-consultants as are required to carry out the Services.

20.3 The Consultant may subcontract part of the Services to an extent and with such Key Experts and Sub-consultants as may be approved in advance by the Procuring Entity. Notwithstanding such approval, the Consultant shall retain full responsibility for the Services.

b. Law Applicable to Services

20.4. The Consultant shall perform the Services in accordance with the Contract and the Applicable Law and shall take all practicable steps to ensure that any of its Experts and Sub-consultants, comply with the Applicable Law.

20.5 Throughout the execution of the Contract, the Consultants shall comply with the import of goods and services prohibitions in Kenya when

- a As a matter of law or official regulations, Kenya prohibits commercial relations with that country; or
- b by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, Kenya prohibits any import of goods from that country or any payments to any country, person, or entity in that country.

20.6. The Procuring Entity shall notify the Consultant in writing of relevant local customs, and the Consultant shall, after such notification, respect such customs.

21 Conflict of Interests

21.1 The Consultant shall hold the Procuring Entity's interest paramount, without any consideration for future work, and strictly avoid conflict with other assignments or their own corporate interests.

a. Consultant Not to Benefit from Commissions, Discounts, etc.

21.1.1 The payment of the Consultant pursuant to GCC F (Clauses GCC 38 through 42) shall constitute the Consultant's only payment in connection with this Contract and, subject to Clause GCC21.1.3, the Consultant shall not accept for its own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or in the discharge of its obligations here under, and the Consultant shall use its best efforts to ensure that any Sub-consultants, as well as the Experts and agents of either of them, similarly shall not receive any such additional payment.

21.1.2 Furthermore, if the Consultant, as part of the Services, has the responsibility of advising the Procuring Entity on the procurement of goods, works or services, the Consultant shall at all times exercise such responsibility in the best interest of the Procuring Entity. Any discounts or commissions obtained by the Consultant in the exercise of such procurement responsibility shall be for the account of the Procuring Entity.

b. Consultant and Affiliates Not to Engage in Certain Activities

21.1.3 The Consultant agrees that, during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant, as well as any Sub-consultants and any entity affiliated with such Sub-consultants, shall be disqualified from providing goods, works or non-consulting services resulting from or directly related to the Consultant's Services for the preparation or implementation of the project.

c. Prohibition of Conflicting Activities

21.1.4 The Consultant shall not engage and shall cause its Experts as well as its Sub-consultants not to engage, either directly or indirectly, in any business or professional activities that would conflict with the activities assigned to them under this Contract.

d. Strict Duty to Disclose Conflicting Activities

21.1.5 The Consultant has an obligation and shall ensure that its Experts and Sub-consultants shall have an obligation to disclose any situation of actual or potential conflict that impacts their capacity to serve the best interest of their Procuring Entity, or that may reasonably be perceived as having this effect. Failure to disclose said situations may lead to the disqualification of the Consultant or the termination of its Contract.

22 Confidentiality

22.1 Except with the prior written consent of the Procuring Entity, the Consultant and the Experts shall not at any time communicate to any person or entity any confidential information acquired in the course of the Services, nor shall the Consultant and the Experts make public the recommendations formulated in the course of, or because of, the Services.

23 Liability of the Consultant

23.1 Subject to additional provisions, if any, set for in the SCC, the Consultant's liability under this Contract shall be as determined under the Applicable Law.

24 Insurance to be taken out by the Consultant

24.1 The Consultant (i) shall take out and maintain and shall cause any Sub-consultants to take out and maintain, at its (or the Sub-consultants', as the case may be) own cost but on terms and conditions approved by the Procuring Entity, insurance against the risks, and for the

coverage specified in the SCC, and (ii) at the Procuring Entity's request, shall provide evidence to the Procuring Entity showing that such insurance has been taken out and maintained and that the current premiums therefore have been paid. The Consultant shall ensure that such insurance is in place prior to commencing the Services as stated in Clause GCC13.

25 Accounting, Inspection and Auditing

25.1 The Consultant shall keep and shall make all reasonable efforts to cause its Sub-consultants to keep, accurate and systematic accounts and records in respect of the Services and in such form and detail as will clearly identify relevant time changes and costs.

25.2 The Consultant shall permit and shall cause its Sub-consultants to permit, the PPRA and/or persons appointed by the PPRA to inspect the Site and /or all accounts and records relating to the performance of the Contract and the submission of the Proposal to provide the Services, and to have such accounts and records audited by auditors appointed by the PPRA if requested by the PPRA. The Consultant's attention is drawn to Clause GCC10 which provides, interlaid, that acts intended to materially impede the exercise of the PPRA's inspection and audit rights provided for under this ClauseGCC25.2 constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility under the PPRA's prevailing sanctions procedures.)

26 Reporting Obligations

26.1 The Consultant shall submit to the Procuring Entity the reports and documents specified in Appendix A, in the form, in the numbers and within the time periods set forth in the said Appendix.

27 Proprietary Rights of the Procuring Entity in Reports and Records

27.1 Unless otherwise indicated in the SCC, all reports and relevant data and information such as maps, diagrams, plans, databases, other documents and software, supporting records or material compiled or prepared by the Consultant for the Procuring Entity in the course of the Services shall be confidential and become and remain the absolute property of the Procuring Entity. The Consultant shall, not later than upon termination or expiration of this Contract, deliver all such documents to the Procuring Entity, together with a detailed inventory thereof. The Consultant may retain a copy of such documents, data and/or software but shall not use the same for purposes unrelated to this Contract without prior written approval of the Procuring Entity.

27.2 If license agreements are necessary or appropriate between the Consultant and third parties for purposes of development of the plans, drawings, specifications, designs, databases, other documents and software, the Consultant shall obtain the Procuring Entity's prior written approval to such agreements, and the Procuring Entity shall be entitled at its discretion to require recovering the expenses related to the development of the program(s) concerned. Other restrictions about the future use of these documents and software, if any, shall be specified in the SCC.

28 Equipment, Vehicles and Materials

28.1 Equipment, vehicles and materials made available to the Consultant by the Procuring Entity or purchased by the Consultant wholly or partly with funds provided by the Procuring Entity, shall be the property of the Procuring Entity and shall be marked

accordingly. Upon termination or expiration of this Contract, the Consultant shall make available to the Procuring Entity an inventory of such equipment, vehicles and materials and shall dispose of such equipment, vehicles and materials in accordance with the Procuring Entity's instructions. While in possession of such equipment, vehicles and materials, the Consultant, unless otherwise instructed by the Procuring Entity in writing, shall insure them at the expense of the Procuring Entity in an amount equal to their full replacement value.

28.2 Any equipment or materials brought by the Consultant or its Experts into Kenya for the use either for the project or personal use shall remain the property of the Consultant or the Experts concerned, as applicable.

D. Consultant's Experts and Sub-consultants

29 Description of Key Experts

29.1 The title, agreed job description, minimum qualification and estimated period of engagement to carry out the Services of each of the Consultant's Key Experts are described in Appendix B.

30 Replacement of Key Experts

30.1 Except as the Procuring Entity may otherwise agree in writing, no changes shall be made in the Key Experts.

30.2 Notwithstanding the above, the substitution of Key Experts during Contract execution may be considered only based on the Consultant's written request and due to circumstances outside the reasonable control of the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall forthwith provide as a replacement, a person of equivalent or better qualifications and experience, and at the same rate of remuneration.

31 Removal of Experts or Sub-consultants

31.1 If the Procuring Entity finds that any of the Experts or Sub-consultant has committed serious misconduct or has been charged with having committed a criminal action, or shall the Procuring Entity determine that Consultant's Expert or Sub-consultant have engaged in corrupt, fraudulent, collusive, coercive [or obstructive] practice while performing the Services, the Consultant shall, at the Procuring Entity's written request, provide a replacement.

31.2 In the event that any of Key Experts, Non-Key Experts or Sub-consultants is found by the Procuring Entity to be incompetent or incapable in discharging assigned duties, the Procuring Entity, specifying the grounds therefore, may request the Consultant to provide a replacement.

31.3 Any replacement of the removed Experts or Sub-consultants shall possess better qualifications and experience and shall be acceptable to the Procuring Entity.

31.4 The Consultant shall bear all costs arising out of or incidental to any removal and/or replacement of such Experts.

E. Obligations of the Procuring Entity

32 Assistance and Exemptions

- 32.1 Unless otherwise specified in the SCC, the Procuring Entity shall use its best efforts to:
- a Assist the Consultant with obtaining work permits and such other documents as shall be necessary to enable the Consultant to perform the Services.
 - b Assist the Consultant with promptly obtaining, for the Experts and, if appropriate, their eligible dependents, all necessary entry and exit visas, residence permits, exchange permits and any other documents required for their stay in Kenya while carrying out the Services under the Contract.
 - c Facilitate prompt clearance through customs of any property required for the Services and of the personal effects of the Expert and their eligible dependents.
 - d Issue to officials, agents and representatives of the Government all such instructions and information as may be necessary or appropriate for the prompt and effective implementation of the Services.
 - e Assist the Consultant and the Experts and any Sub-consultants employed by the Consultant for the Services with obtaining exemption from any requirement to register or obtain any permit to practice their profession or to establish themselves either individually or as a corporate entity in Kenya according to the applicable law in Kenya
 - f Assist the Consultant, any Sub-consultants and the Experts of either of them with obtaining the privilege, pursuant to the applicable law in Kenya, of bringing in to Kenya reasonable amounts of foreign currency for the purposes of the Services or for the personal use of the Experts and of withdrawing any such amounts as may be earned therein by the Experts in the execution of the Services.
 - g Provide to the Consultant any such other assistance as may be specified in the SCC.

33 Access to Project Site

- 33.1 The Procuring Entity warrants that the Consultant shall have, free of charge, unimpeded access to the project site in respect of which access is required for the performance of the Services. The Procuring Entity will be responsible for any damage to the project site or any property thereon resulting from such access and will indemnify the Consultant and each of the experts in respect of liability for any such damage, unless such damage is caused by the willful default or negligence of the Consultant or any Sub-consultants or the Experts of either of them.

34 Change in the Applicable Law Related to Taxes and Duties

- 34.1 If, after the date of this Contract, there is any change in the applicable law in Kenya with respect to taxes and duties which increases or decreases the cost incurred by the Consultant in performing the Services, then the remuneration and reimbursable expenses otherwise payable to the Consultant under this Contract shall be increased or decreased accordingly by agreement between the Parties hereto, and corresponding adjustments shall be made to the Contract price amount specified in Clause GCC 39.1

35 Services, Facilities and Property of the Procuring Entity

- 35.1 The Procuring Entity shall make available to the Consultant and the Experts, for the purposes of the Services and free of any charge, the services, facilities and property described in the Terms of Reference (Appendix A) at the times and in the manner specified in said Appendix A.

36 Counterpart Personnel

36.1 The Procuring Entity shall make available to the Consultant free of charge such professional and support counterpart personnel, to be nominated by the Procuring Entity with the Consultant's advice, if specified in Appendix A.

36.2 Professional and support counterpart personnel, excluding Procuring Entity's liaison personnel, shall work under the exclusive direction of the Consultant. If any member of the counterpart personnel fails to perform adequately any work as signed to such member by the Consultant that is consistent with the position occupied by such member, the Consultant may request the replacement of such member, and the Procuring Entity shall not unreasonably refuse to act upon such request.

37 Payment Obligation

37.1 In consideration of the Services performed by the Consultant under this Contract, the Procuring Entity shall make such payments to the Consultant for the deliverables specified in Appendix A and in such manner as is provided by GCCF below.

F. Payments to the Consultant

38 Contract Price

38.1 The Contract price is fixed and is set forth in the SCC. The Contract price breakdown is provided in Appendix C.

38.2 Any change to the Contract price specified in Clause 38.1 can be made only if the Parties have agreed to the revised scope of Services pursuant to Clause GCC 16 and have amended in writing the Terms of Reference in Appendix A.

39 Taxes and Duties

39.1 The Consultant, Sub-consultants and Experts are responsible for meeting any and all tax liabilities arising out of the Contract unless it is stated otherwise in the SCC. Currency of Payment

39.2 Any payment under this Contract shall be made in the currency (ies) of the Contract.

40 Mode of Billing and Payment

40.1 The total payments under this Contract shall not exceed the Contract price set forth in Clause GCC 38.1.

40.2 The payments under this Contract shall be made in lump-sum installments against deliverables specified in Appendix A. The payments will be made according to the payment schedule stated in the SCC.

40.2.1 Advance payment: Unless otherwise indicated in the SCC, an advance payment shall be made against an advance payment bank guarantee acceptable to the Procuring Entity in an amount (or amounts) and in a currency (or currencies) specified in the SCC. Such guarantee (I) is to remain effective until the advance payment has been fully set off, and (ii) is to be in the form set forth in Appendix D, or in such other form as the Procuring Entity shall have approved in writing. The advance payments will be set off by the Procuring Entity in equal portions against the lump-sum installments specified in the SCC until said advance payments have been fully set off.

40.2.2 *The Lump-Sum Installment Payments.* The Procuring Entity shall pay the Consultant within sixty (60) days after the receipt by the Procuring Entity of the deliverable(s) and the cover invoice for the related lump-sum installment payment. The payment can be withheld if the Procuring Entity does not approve the submitted deliverable(s) as satisfactory in which case the Procuring Entity shall provide comments to the Consultant within the same sixty (60) days period. The Consultant shall thereupon promptly make any necessary corrections, and there after the fore going process shall be repeated.

40.2.3 *The Final Payment:* The final payment under this Clause shall be made only after the final report has been submitted by the Consultant and approved as satisfactory by the Procuring Entity. The Services shall then be deemed completed and finally accepted by the Procuring Entity. The last lump-sum installment shall be deemed approved for payment by the Procuring Entity within ninety (90) calendar days after receipt of the final report by the Procuring Entity unless the Procuring Entity, within such ninety (90) calendar day period, gives written notice to the Consultant specifying in detail deficiencies in the Services, the final report. The Consultant shall thereupon promptly make any necessary corrections, and there after the fore going process shall be repeated.

40.2.4 All payments under this Contract shall be made to the accounts of the Consultant specified in the SCC.

40.2.5 With the exception of the final payment under 40.2.3 above, payments do not constitute acceptance of the whole Services nor relieve the Consultant of any obligations here under.

41 Interest on Delayed Payments

41.1 If the Procuring Entity had delayed payments beyond thirty (30) days after the due date stated in Clause GCC 41.2.2, interest shall be paid to the Consultant on any amount due by, not paid on, such due date for each day of delay at the annual rate stated in the SCC.

G. Fairness and Good Faith

42 Good Faith

42.1 The Parties undertake to act in good faith with respect to each other's rights under this Contract and to adopt all reasonable measures to ensure the realization of the objectives of this Contract.

H. Settlement of Disputes

43 Amicable Settlement

43.1.2 The Parties shall seek to resolve any dispute amicably by mutual consultation.

43.1.3 If either Party objects to any action or in action of the other Party, the objecting Party may file a written Notice of Dispute to the other Party providing in detail the basis of the dispute. The Party receiving the Notice of Dispute will consider it and respond in writing within fourteen (14) days after receipt. If that Party fails to respond within fourteen (14) days, or the dispute cannot be amicably settled within fourteen (14) days following the response of that Party, Clause GCC 45.1 shall apply.

44 Dispute Resolution

44.1.2 Any dispute between the Parties arising under or related to this Contract that

cannot be settled amicably may be referred to by either Party to the adjudication/arbitration in accordance with the provisions specified in the SCC.

SECTION 8: SPECIAL CONDITIONS OF CONTRACT

[Notes in brackets are for guidance purposes only and should be deleted in the final text of the signed contract]

| Number of GC Clause | Amendments of, and Supplements to, Clauses in the General Conditions of Contract |
|---------------------|---|
| 1.1(a) | <p>The Contract shall be construed in accordance with the law of Kenya</p> <p><i>[Where the Parties may designate the law of another country, in which case the name of the respective country should be inserted, and the name of Kenya should be removed.]</i></p> |
| 4.1 | <p>The language is: English</p> |
| 6.1 and 6.2 | <p>The addresses are <i>[fill in at negotiations with the selected firm]</i>:</p> <p>Procuring Entity: _____ Attention: _____ Facsimile: _____ E-mail: _____</p> <p>Consultant: _____ Attention: _____ Facsimile: _____ E-mail: _____</p> |
| 8.1 | <p><i>[If the Consultant consists only of one entity, state "N/A";</i> <i>OR</i> <i>If the Consultant is a Joint Venture consisting of more than one entity, the name of the JV member whose address is specified in Clause SCC6.1 should be inserted here.]</i> The Lead Member on behalf of the JV is _____<i>[insert name of the member]</i></p> |
| 9.1 | <p>The Authorized Representatives are:</p> <p>For the Procuring Entity: <i>To be inserted</i></p> <p>For the Consultant: <i>[name, title]</i>__</p> |
| 11.1 | <p>The effectiveness conditions are the following: N/A <i>[Note: If there are no effectiveness conditions, state "N/A"]</i></p> <p><i>OR</i></p> <p><i>List here any conditions of effectiveness of the Contract, e.g., receipt by the Consultant of an advance payment, and by the Procuring Entity of an advance payment guarantee (see Clause SCC45.1(a)), etc.]</i></p> |

| Number of GC Clause | Amendments of, and Supplements to, Clauses in the General Conditions of Contract |
|---------------------|---|
| 12.1 | <p>Termination of Contract for Failure to Become Effective:</p> <p>The time period shall be _4 Months_ <i>[insert time period, e.g.: four months]</i>.</p> |
| 13.1 | <p>Commencement of Services:</p> <p>The number of days shall be 14 Days <i>[e.g.: ten]</i>.</p> <p>Confirmation of Key Experts' availability to start the Assignment shall be submitted to the Procuring Entity in writing as a written statement signed by each Key Expert.</p> |
| 14.1 | <p>Expiration of Contract:</p> <p>The time period shall be _ <i>[insert time period, e.g.: twelve months]</i>.</p> |
| 21.1.3. | <p>The Procuring Entity reserves the right to determine on a case-by-case basis whether the Consultant should be disqualified from providing goods, works or non-consulting services due to a conflict of a nature described in Clause GCC 21.1.3</p> <p>Yes:_____ No: _____</p> |
| 23.1 | <p>No additional provisions.</p> <p><i>[OR</i></p> <p>The following limitation of the Consultant's Liability towards the Procuring Entity can be subject to the Contract's negotiations:</p> <p><i>"Limitation of the Consultant's Liability towards the Procuring Entity:</i></p> <p>(a) Except in the case of gross negligence or willful misconduct on the part of the Consultant or on the part of any person or a firm acting on behalf of the Consultant in carrying out the Services, the Consultant, with respect to damage caused by the Consultant to the Procuring Entity's property, shall not be liable to the Procuring Entity:</p> <p style="padding-left: 40px;">(i) for any indirect or consequential loss or damage; and</p> <p style="padding-left: 40px;">(ii) for any direct loss or damage that exceeds two times the total value of the Contract;</p> <p>(b) This limitation of liability shall not</p> <p style="padding-left: 20px;">(i) affect the Consultant's liability, if any, for damage to Third Parties caused by the Consultant or any person or firm acting on behalf of the Consultant in carrying out the Services;</p> <p style="padding-left: 20px;">(ii) be construed as providing the Consultant with any limitation or exclusion from liability which is prohibited by the Applicable Law.</p> <p><i>[Notes to the Procuring Entity and the Consultant:</i></p> <p><i>Any suggestions made by the Consultant in the Proposal to introduce</i></p> |

| Number of GC Clause | Amendments of, and Supplements to, Clauses in the General Conditions of Contract |
|---------------------|--|
| | <p><i>exclusions/limitations of the Consultant's liability under the Contract should be carefully scrutinized by the Procuring Entity <u>prior to accepting any changes</u> to what was included in the issued RFP.</i></p> <p><i>To be acceptable to the Procuring Entity, any limitation of the Consultant's liability should at the very least be reasonably related to (a) the damage the Consultant might potentially cause to the Procuring Entity, and (b) the Consultant's ability to pay compensation using its own assets and reasonably obtainable insurance coverage. The Consultant's liability shall not be limited to less than a multiplier of the total payments to the Consultant under the Contract for remuneration and reimbursable expenses. <u>A statement to the effect that the Consultant is liable only for the re-performance of faulty Services is not acceptable to the Procuring Entity.</u> Also, the Consultant's liability should never be limited for loss or damage caused by the Consultant's gross negligence or willful misconduct.</i></p> <p><i>The Procuring Entity does not accept a provision to the effect that the Procuring Entity shall indemnify and hold harmless the Consultant against Third Party claims, except, of course, if a claim is based on loss or damage caused by a default or wrongful act of the Procuring Entity to the extent permissible by the law applicable in Kenya.]</i></p> |
| 24.1 | <p>The insurance coverage against the risks shall be as follows:</p> <p><i>[Delete what is not applicable except (a)].</i></p> <p>(a) Professional liability insurance, with a minimum coverage of _____ <i>[insert amount and currency which should be not less than the total ceiling amount of the Contract];</i></p> <p>(b) Third Party motor vehicle liability insurance in respect of motor vehicles operated in the Procuring Entity's country by the Consultant or its Experts or Sub-consultants, with a minimum coverage of <i>[insert amount and currency or state "in accordance with the applicable law in the Procuring Entity's country"];</i></p> <p>(c) Third Party liability insurance, with a minimum coverage of <i>[insert amount and currency or state "in accordance with the applicable law in Kenya"];</i></p> <p>(d) employer's liability and workers' compensation insurance in respect of the experts and Sub-consultants in accordance with the relevant provisions of the Applicable Law in Kenya, as well as, with respect to such Experts, any such life, health, accident, travel or other insurance as may be appropriate; and</p> <p>(e) insurance against loss of or damage to (i) equipment purchased in whole or in part with funds provided under this Contract, (ii) the Consultant's property used in the performance of the Services, and (iii) any documents prepared by the Consultant in the performance of the Services.</p> |

| Number of GC Clause | Amendments of, and Supplements to, Clauses in the General Conditions of Contract |
|----------------------|---|
| 27.1 | <p>The additional rights to the use of the documents are: <i>[If applicable, insert any exceptions to proprietary rights provisions]</i></p> |
| 27.2 | <p><i>[If there is to be no restriction on the future use of these documents by either Party, this Clause SCC 27.2 should be indicated as Not Applicable. If the Parties wish to restrict such use, any of the following options, or any other option agreed to by the Parties, could be used:</i></p> <p><i>[The Consultant shall not use these [insert what applies..... documents and software....] for purposes unrelated to this Contract without the prior written approval of the Procuring Entity.]</i></p> <p><i>[OR]</i></p> <p><i>[The Procuring Entity shall not use these [insert what applies..... documents and software.....] for purposes unrelated to this Contract without the prior written approval of the Consultant.]</i></p> <p><i>[OR]</i></p> <p><i>[Neither Party shall use these [insert what applies..... documents and software.....] for purposes unrelated to this Contract without the prior written approval of the other Party.]</i></p> |
| 32.1 (a) through (f) | <p><i>[List here any changes or additions to Clause GCC 32.1. If there are no such changes or additions, indicate Not Applicable.]</i></p> |
| 32.1(g) | <p><i>[List here any other assistance to be provided by the Procuring Entity. If there is no such other assistance, indicate Not Applicable for this Clause SCC 32.1(g).]</i></p> |
| 38.1 | <p>The Contract price is: _____ <i>[insert amount and currency for each currency as applicable] [indicate: inclusive or exclusive]</i> of local taxes.</p> <p>Any local taxes chargeable in respect of this Contract for the Services provided by the Consultant shall <i>[insert as appropriate: "be paid" or "reimbursed"]</i> by the Procuring Entity <i>[insert as appropriate: "for "or "to"]</i> the Consultant.</p> <p>The amount of such taxes is _____ <i>[insert the amount as finalized at the Contract's negotiations on the basis of the tax amounts provided by the Consultant in Form FIN-2 of the Consultant's Financial Proposal.</i></p> |
| 39.1 and 39.2 | <p><i>[The Procuring Entity, depending on the source of funds and tax exemptions already granted by the Government, shall decide whether the Consultant (i) should be exempted from local tax, or (ii) should be reimbursed by the Procuring Entity for any such tax they might have to pay (or that the Procuring Entity would pay such tax on behalf of the Consultant]</i></p> <p>The Procuring Entity warrants that <i>[choose one applicable option consistent with the</i></p> |

| Number of GC Clause | Amendments of, and Supplements to, Clauses in the General Conditions of Contract |
|---------------------|--|
| | <p><i>ITC 16.3 and the outcome of the Contract’s negotiations (Form FIN-2]</i> <i>If ITC16.3 indicates a tax exemption status, include the following: “the Consultant, the Sub-consultants and the Experts shall be exempt from”</i> OR <i>If ITC16.3 does not indicate the exemption and, depending on whether the Procuring Entity shall pay the withholding tax or the Consultant has to pay, include the following: “the Procuring Entity shall pay on behalf of the Consultant, the Sub-consultants and the Experts,” OR “the Procuring Entity shall reimburse the Consultant, the Sub-consultants and the Experts”]</i></p> <p>any taxes, duties, fees, levies and other impositions imposed, under the applicable law in the Procuring Entity’s country, on the Consultant, the Sub-consultants and the Experts in respect of:</p> <p>(a) any payments whatsoever made to the Consultant, Sub-consultants and the Experts (other than nationals or permanent residents of Kenya), in connection with the carrying out of the Services;</p> <p>(b) any equipment, materials and supplies brought into Kenya by the Consultant or Sub-consultants for the purpose of carrying out the Services and which, after having been brought into such territories, will be subsequently withdrawn by them;</p> <p>(c) any equipment imported for the purpose of carrying out the Services and paid for out of funds provided by the Procuring Entity and which is treated as property of the Procuring Entity;</p> <p>(d) any property brought into Kenya by the Consultant, any Sub-consultants or the Experts (other than nationals or permanent residents of Kenya), or the eligible dependents of such experts for their personal use and which will subsequently be withdrawn by them upon their respective departure from the Procuring Entity’s country, provided that:</p> <p>(i) the Consultant, Sub-consultants and experts shall follow the usual customs procedures of Kenya in importing property into Kenya; and</p> <p>(ii) if the Consultant, Sub-consultants or Experts do not withdraw but dispose of any property in the Procuring Entity’s country upon which customs duties and taxes have been exempted, the Consultant, Sub-consultants or Experts, as the case may be, (a) shall bear such customs duties and taxes in conformity with the regulations of Kenya, or (b) shall reimburse them to the Procuring Entity if they were paid by the Procuring Entity at the time the property in question was brought into the Procuring Entity’s country.</p> |
| 40.2 | <p><i>The Payment schedule shall be discussed and agreed at Contract negotiation stage.</i></p> |
| 40.2.1 | <p><i>[The advance payment could be in either the foreign currency, or the local currency, or both; select the correct wording in the Clause here below. The advance bank payment guarantee should be in the same currency(ies)]</i></p> <p>The following provisions shall apply to the advance payment and the advance bank payment guarantee:</p> |

| Number of GC Clause | Amendments of, and Supplements to, Clauses in the General Conditions of Contract |
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| | <p>(1) An advance payment [of <i>[insert amount]</i> in foreign currency] [and of <i>[insert amount]</i> in Kenya Shillings] shall be made within <i>[insert number]</i> days after the receipt of an advance bank payment guarantee by the Procuring Entity. The advance payment will be set off by the Procuring Entity in equal portions against [list the payments against which the advance is offset].</p> <p>(2) The advance bank payment guarantee shall be in the amount and in the currency of the currency (ies) of the advance payment.</p> <p>(3) The bank guarantee will be released when the advance payment has been fully set off.</p> |
| 40.2.4 | <p>The accounts are:</p> <p>for foreign currency: <i>[insert account]</i>.</p> <p>for local currency: <i>[insert account]</i>.</p> |
| 41.1 | <p>The interest rate is: <i>[insert rate]</i>.</p> |
| 44.1 | <p>Disputes shall be settled by arbitration in accordance with the following provisions:</p> <p>1. <u>Selection of Arbitrators</u>. Each dispute submitted by a Party to arbitration shall be heard by a sole arbitrator or an arbitration panel composed of three (3) arbitrators, in accordance with the following provisions:</p> <p>(a) Where the Parties agree that the dispute concerns a technical matter, they may agree to appoint a sole arbitrator or, failing agreement on the identity of such sole arbitrator within thirty (30) days after receipt by the other Party of the proposal of a name for such an appointment by the Party who initiated the proceedings, either Party may apply to <i>[name an appropriate international professional body, e.g., the Federation Internationale des Ingenieurs-Conseil (FIDIC) of Lausanne, Switzerland]</i> for a list of not fewer than five (5) nominees and, on receipt of such list, the Parties shall alternately strike names therefrom, and the last remaining nominee on the list shall be the sole arbitrator for the matter in dispute. If the last remaining nominee has not been determined in this manner within sixty (60) days of the date of the list, <i>[insert the name of the same professional body as above]</i> shall appoint, upon the request of either Party and from such list or otherwise, a sole arbitrator for the matter in dispute.</p> <p>(b) Where the Parties do not agree that the dispute concerns a technical matter, the Procuring Entity and the Consultant shall each appoint one (1) arbitrator, and these two arbitrators shall jointly appoint a third arbitrator, who shall chair the arbitration panel. If the arbitrators named by the Parties do not succeed in appointing a third arbitrator within thirty (30) days after the latter of the two (2) arbitrators named by the Parties has been appointed, the third arbitrator shall, at the request of either Party, be appointed by <i>[name an appropriate international appointing authority, e.g., the Secretary General of the</i></p> |

| Number of GC Clause | Amendments of, and Supplements to, Clauses in the General Conditions of Contract |
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| | <p><i>Permanent Court of Arbitration, The Hague; the Secretary General of the International Centre for Settlement of Investment Disputes, Washington, D.C.; the International Chamber of Commerce, Paris; etc.].</i></p> <p>(c) If, in a dispute subject to paragraph (b) above, one Party fails to appoint its arbitrator within thirty (30) days after the other Party has appointed its arbitrator, the Party which has named an arbitrator may apply to the <i>[name the same appointing authority as in said paragraph (b)]</i> to appoint a sole arbitrator for the matter in dispute, and the arbitrator appointed pursuant to such application shall be the sole arbitrator for that dispute.</p> |
| | <p>2. <u>Rules of Procedure.</u> Except as otherwise stated herein, arbitration proceedings shall be conducted in accordance with the rules of procedure for arbitration of the United Nations Commission on International Trade Law (UNCITRAL) as in force on the date of this Contract.</p> <p>3. <u>Substitute Arbitrators.</u> If for any reason an arbitrator is unable to perform his/her function, a substitute shall be appointed in the same manner as the original arbitrator.</p> <p>4. <u>Nationality and Qualifications of Arbitrators.</u> The sole arbitrator or the third arbitrator appointed pursuant to paragraphs 1(a) through 1(c) above shall be an internationally recognized legal or technical expert with extensive experience in relation to the matter in dispute and shall not be a national of the Consultant's home country <i>[If the Consultant consists of more than one entity, add: or of the home country of any of their members or Parties]</i> or of the Government's country. For the purposes of this Clause, "home country" means any of:</p> <p>(a) the country of incorporation of the Consultant <i>[If the Consultant consists of more than one entity, add: or of any of their members or Parties];</i> or</p> <p>(b) the country in which the Consultant's [or any of their members' or Parties'] principal place of business is located; or</p> <p>(c) the country of nationality of a majority of the Consultant's [or of any members' or Parties'] shareholders; or</p> <p>(d) the country of nationality of the Sub-consultants concerned, where the dispute involves a subcontract.</p> |
| | <p>5. <u>Miscellaneous.</u> In any arbitration proceeding hereunder:</p> <p>(a) proceedings shall, unless otherwise agreed by the Parties, be held in <i>[select a country which is neither the Procuring Entity's country nor the Consultant's country];</i></p> <p>(b) the <i>[type of language]</i> language shall be the official language for all purposes; and</p> <p>(c) the decision of the sole arbitrator or of a majority of the arbitrators (or of</p> |

| Number of GC Clause | Amendments of, and Supplements to, Clauses in the General Conditions of Contract |
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| | the third arbitrator if there is no such majority) shall be final and binding and shall be enforceable in any court of competent jurisdiction, and the Parties hereby waive any objections to or claims of immunity in respect of such enforcement. |

SECTION 9: APPENDICES

Appendix A – Terms of Reference

[*Note: This Appendix shall include the final Terms of Reference (TORs) worked out by the Procuring Entity and the Consultant during the negotiations; dates for completion of various tasks ;location of performance for different tasks; detailed reporting requirements and list of deliverables against which the payments to the Consultant will be made; Procuring Entity's input, including counterpart personnel assigned by the Procuring Entity to work on the Consultant's team; specific tasks or actions that require prior approval by the Procuring Entity.*

Insert the text based on the Section 5 (Terms of Reference) of the ITC in the RFP and modified based on the Forms TECH-1 through TECH-5 of the Consultant's Proposal. Highlight the changes to Section 5 of the RFP]

.....
.....

Appendix B - Key Experts

[*Insert a table based on Form TECH-6 of the Consultant's Technical Proposal and finalized at the Contract's negotiations. Attach the CVs (updated and signed by the respective Key Experts) demonstrating the qualifications of Key Experts.*]

.....

..... Appendix C – Breakdown of Contract Price

{*Insert the table with the unit rates to arrive at the breakdown of the lump-sum price. The table shall be based on [Form FIN-3andFIN-4] of the Consultant's Proposal and reflect any changes agreed at the Contract negotiations, if any. The footnote shall list such changes made to [FormFIN-3andFIN-4] at the negotiations or state that none has been made.*}

APPENDIX D - FORM OF ADVANCE PAYMENT GUARANTEE

[Note: See Clause GCC 41.2.1 and SCC 41.2.1]

Bank Guarantee for Advance Payment _____ [Bank's Name and Address of Issuing Branch or Office] Beneficiary: _____ [Name and Address of Procuring Entity]
Date: _____

ADVANCEPAYMENTGUARANTEE No.: _____

We have been informed that _____ [name of Consultant or a name of the Joint Venture, same as appears on the signed Contract] (herein after called " the Consultant") has entered into Contract No. _____ [reference number of the contract] dated _____ with you, for the provision of _____ [brief description of Services] (herein after called " the Contract").

Furthermore, we understand that, according to the conditions of the Contract, an advance payment in the sum of

_____ [amount in figures] () [amount in words] is to be made against an advance payment guarantee.

At the request of the Consultant, we _____ [name of bank] here by irrevocably undertake to pay you any sum or sums not exceeding in total an amount of _____ [amount in figures] () [amount in words]¹ upon receipt by us of your first demand in writing accompanied by a written statement stating that the Consultant are in breach of their obligation under the Contract because the Consultant have used the advance payment for purposes other than toward providing the Services under the Contract.

It is a condition for any claim and payment under this guarantee to be made that the advance payment referred to above must have been received by the Consultant on their account number _____ at _____ [name and address of bank].

The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Consultant as indicated in copies of certified monthly statements which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of the monthly payment certificate indicating that the Consultant has made

Full repayment of the amount of the advance payment, or on the day of _____, ² whichever is earlier.

Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.

[Signature]

Note: All italicized text is for indicative purposes only to assist in preparing this form and shall be deleted from the final product.